



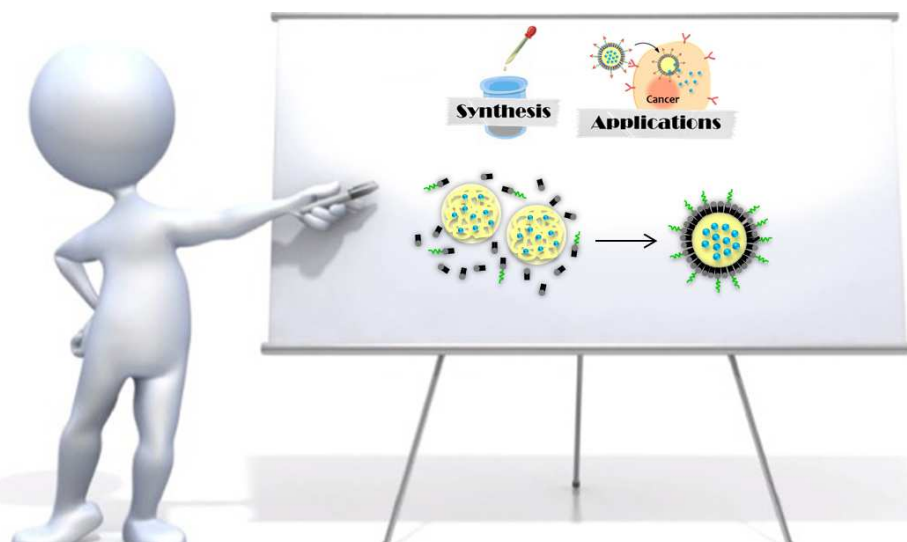
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# Nanotechnology for Drug and Vaccine Delivery

Presented by  
**Nuttawee Niamsiri, PhD**

Department of Biotechnology, Faculty of Science, Mahidol University



**NAC2017**  
**13<sup>th</sup> NSTDA Annual Conference**  
การประชุมวิชาการประจำปี สวทช. ครั้งที่ ๑๓

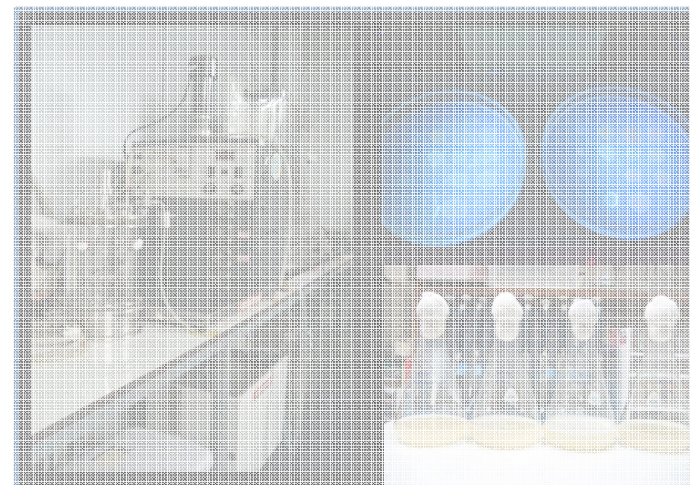
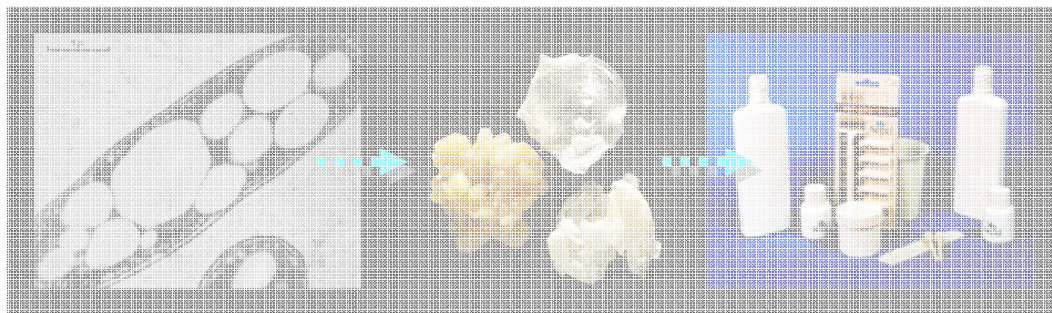
31<sup>st</sup> March 2017

# My Current Research Interests



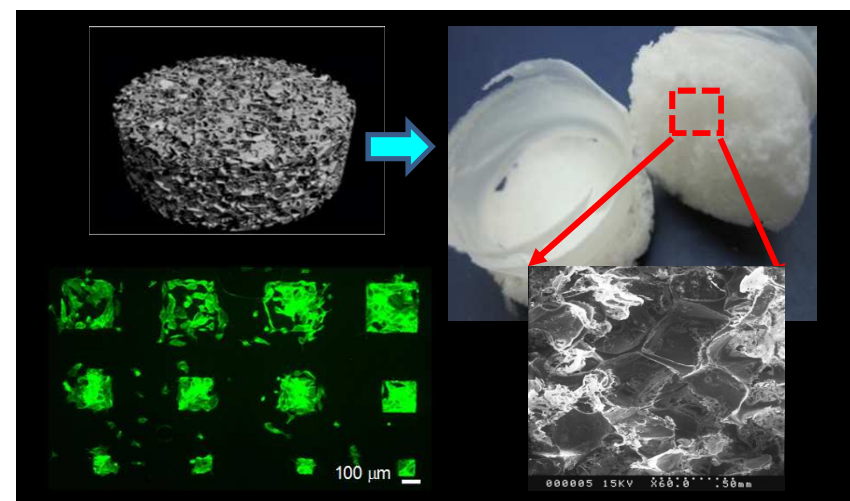
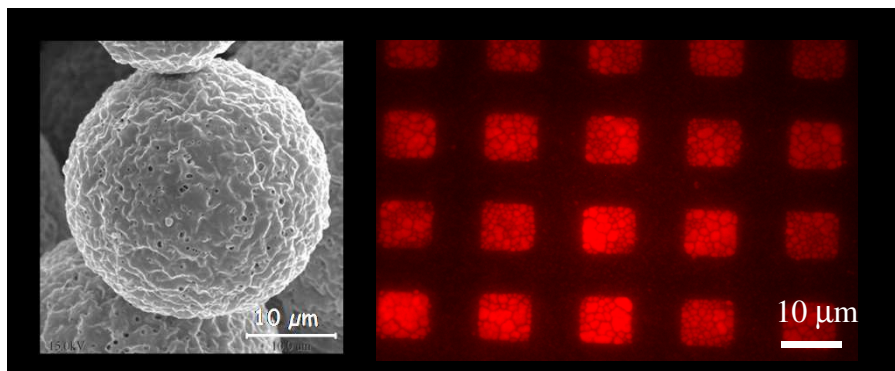
## 1) Optimize the production of Polyhydroxyalkanoates (PHAs) in the bacterial system

- ❖ Screening novel PHA producers
- ❖ Improve PHAs yield via fermentation strategies



## 2) Develop novel applications or products based on PHAs

- ❖ Drug delivery & tissue engineering
- ❖ Nanobiotechnology





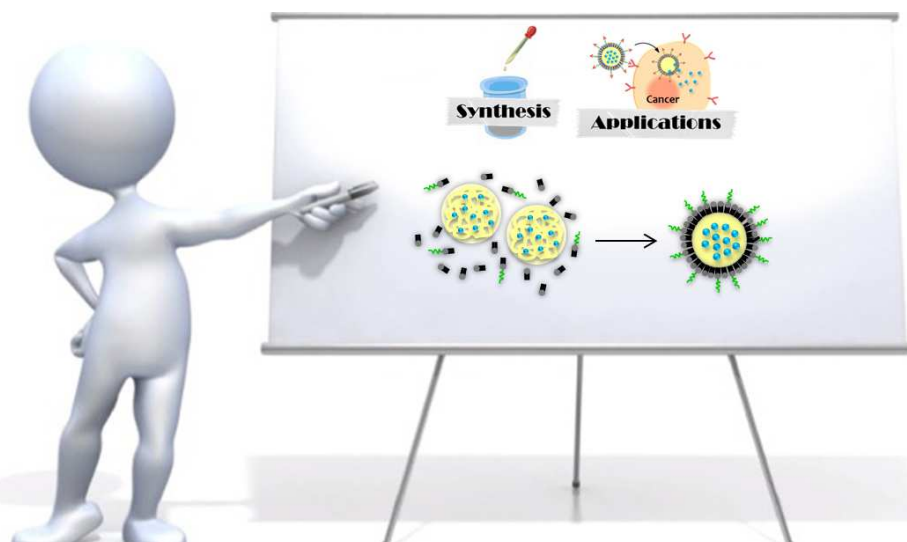
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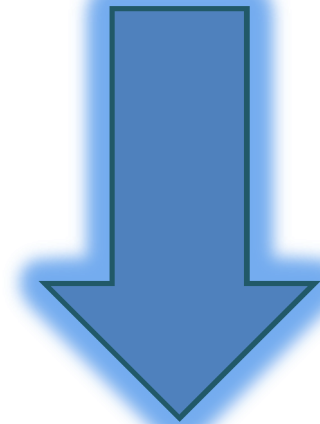
 31<sup>st</sup> March 2017

# ...Outline of My Talk...

**Nanotechnology**

+

**Drug delivery**

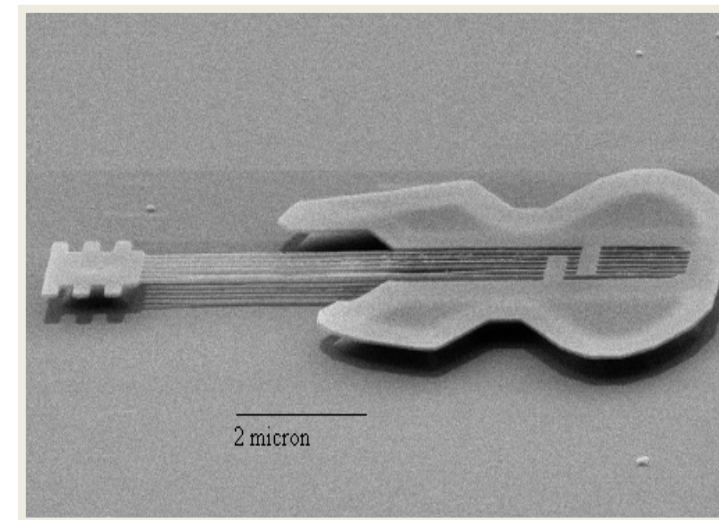
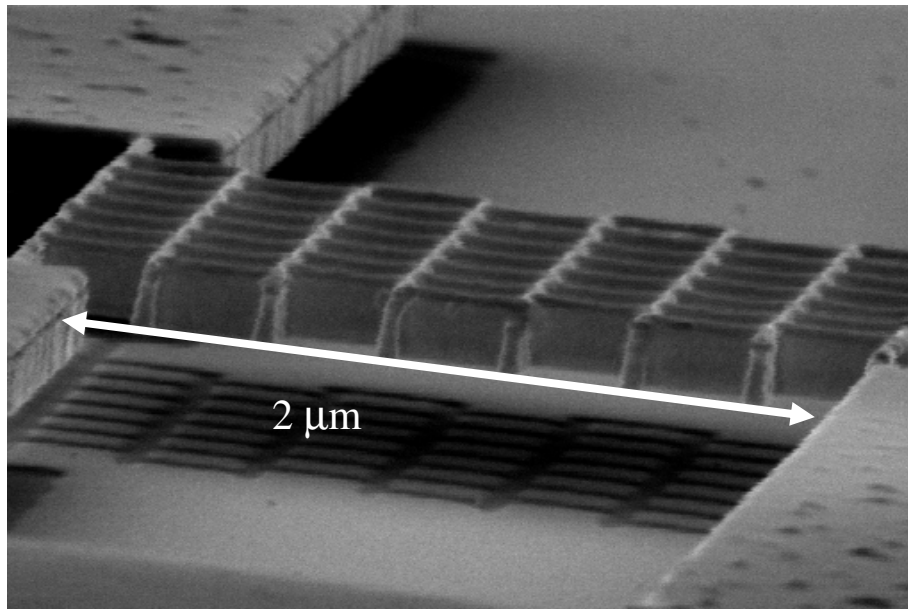
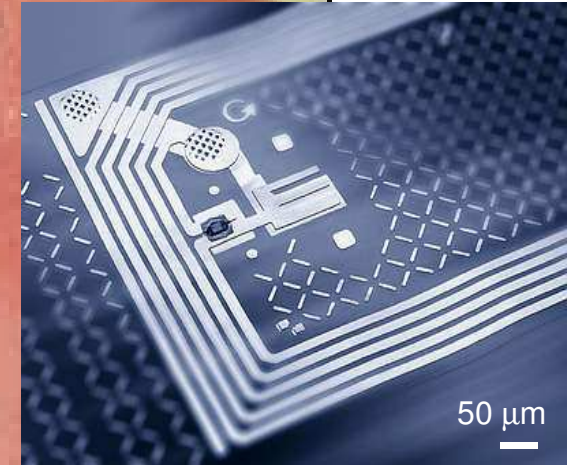
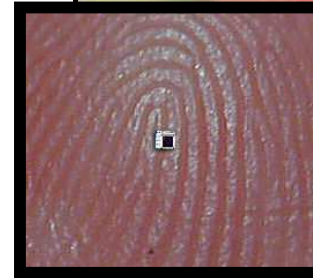
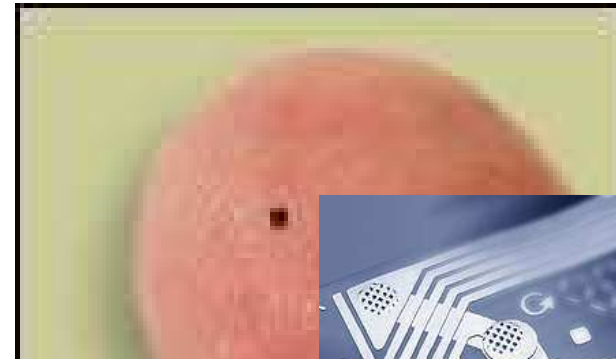
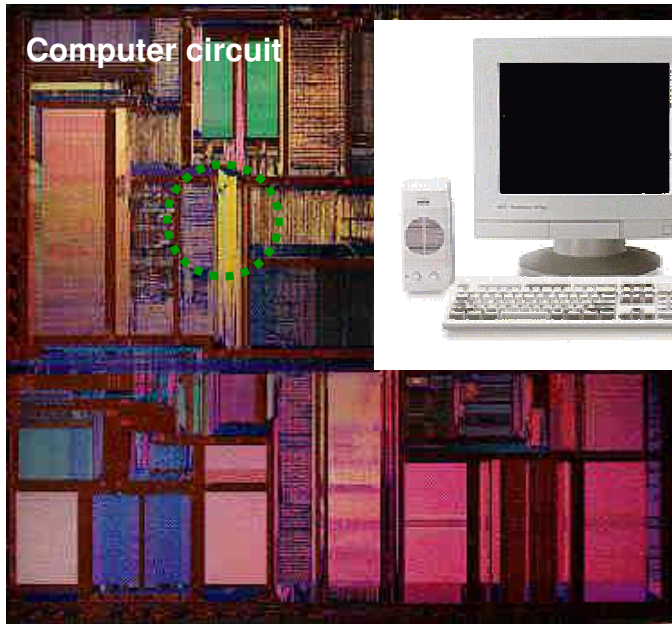


**Vaccine delivery**



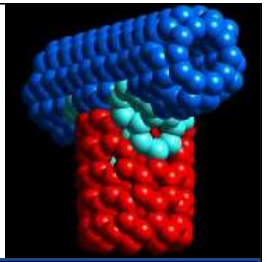


# What is “Nanotechnology” ?





# What is Nanotechnology ?



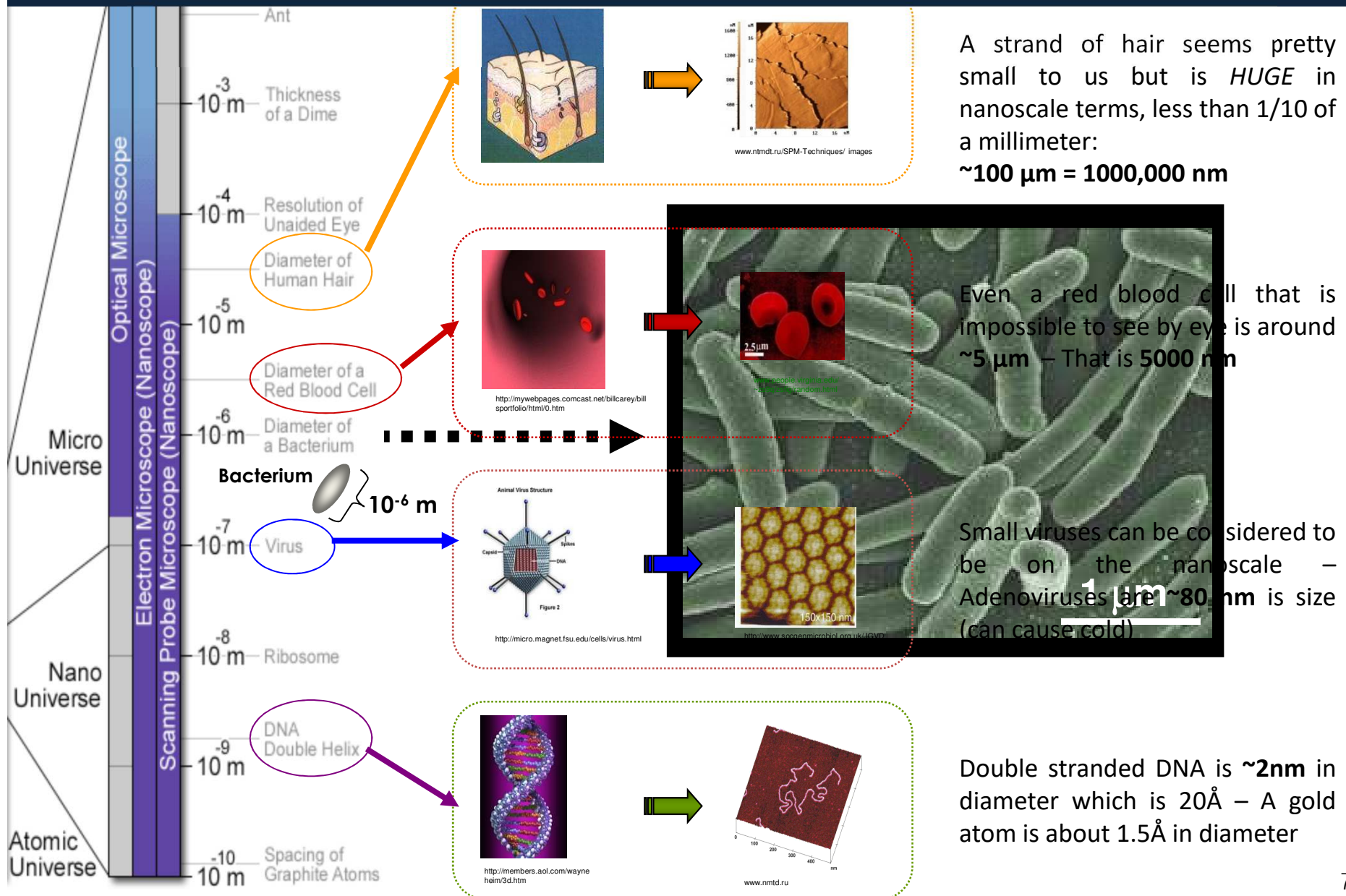
"นาโนเทคโนโลยี" หมายถึง เทคโนโลยีที่เกี่ยวข้องกับกระบวนการ สร้าง การสังเคราะห์ วัสดุ อุปกรณ์ เครื่องจักรหรือผลิตภัณฑ์ซึ่งมีขนาดเล็กมากใน**ระดับนาโนเมตร** เทียบเท่ากับระดับอนุภาคของโมเลกุลหรืออะตอม รวมถึงการออกแบบหรือการใช้เครื่องมือสร้างวัสดุที่อยู่ในระดับที่เล็กมาก หรือการเรียงอะตอมและโมเลกุลในตำแหน่งที่ต้องการ ได้อย่างแม่นยำ และถูกต้อง ทำให้โครงสร้างของวัสดุหรือสารมีคุณสมบัติพิเศษ ไม่ว่าทางด้านฟิสิกส์ เคมี หรือชีวภาพ ส่งผลให้มีผลประโยชน์ต่อผู้ใช้สอย

จาก <http://www.thai-nano.com/whatnano.php>

## Nanotechnology

The manipulation, synthesis, fabrication, measurement, modeling or manufacture of **sub-1000 nanometer** scale matter.

# How Small is Micro- or Nano-?



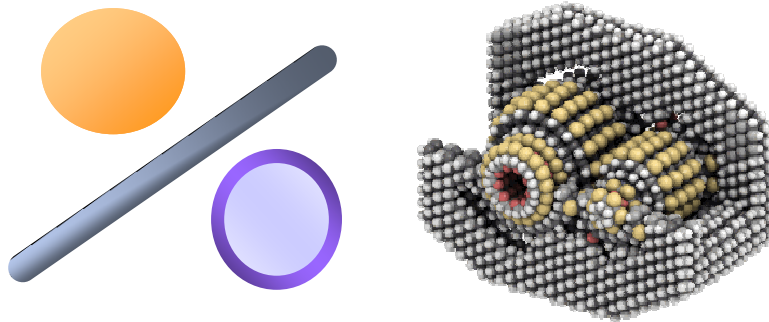


# Two Main Concepts of Nanotechnology

## I.

**Nanotechnology** can involve behavior of small structures (i.e. nanoscience). The general definition say this would be things from **1 to 100 nm in size**, but this is sometimes extended to larger systems (**up to 1000 nm**).

**Nanosized structures** can be used to create stronger materials, faster computers, and better medicine etc.

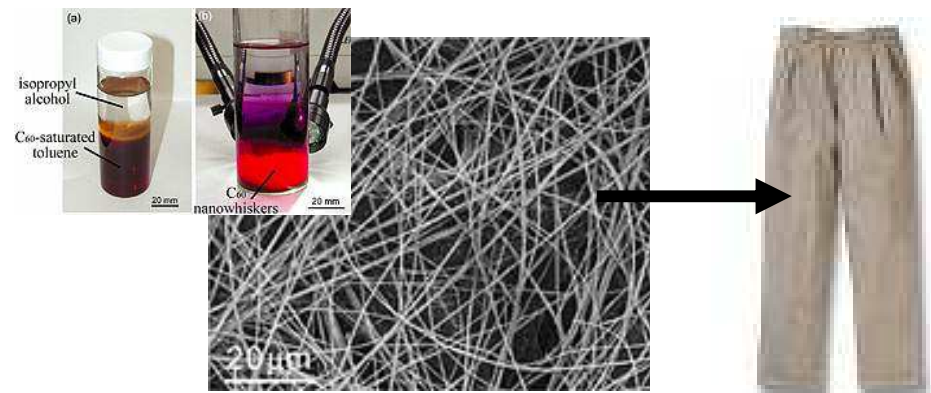


Nanotubes, nanoparticles etc.

## II.

**Nanotechnology** can involve Molecular manufacturing that is an attempt to build mechanical/chemical manufacturing systems in a controlled manner to a functioning device or nano-structure.

Where researchers develop and apply materials at this scale **to develop new products or methods**; i.e., turning nanostructures into useable tools and applications.



**Nanotechnology can be described as the engineering of functional systems at the molecular scale**



# Nanotechnology is not New!

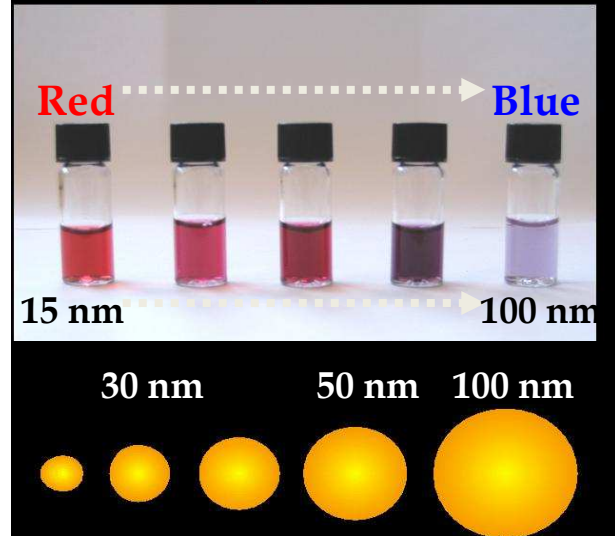
- Gold nanoparticles are reflected as red.



- Ancient stained-glass makers knew if they put finely ground gold or silver in the glass they would get beautiful colors.
- They used **nano-sized gold** and **silver particles**. *Optical properties change with the nanoscale.*



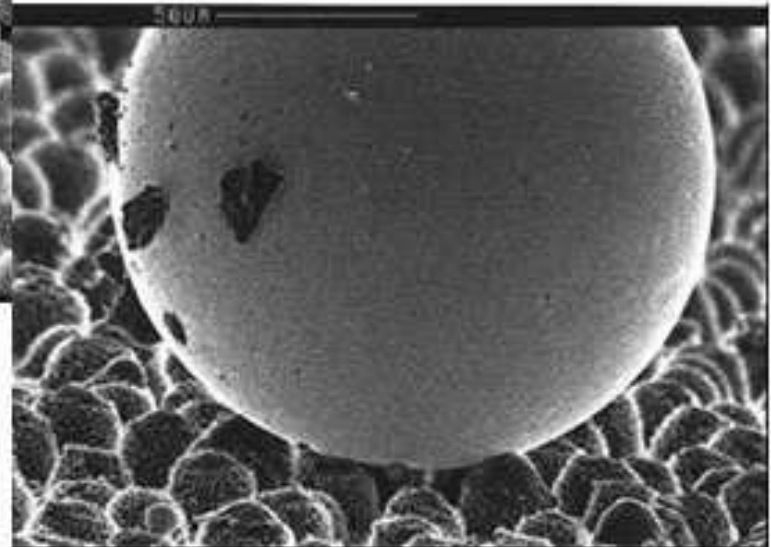
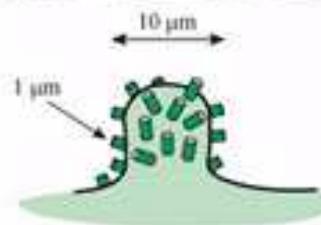
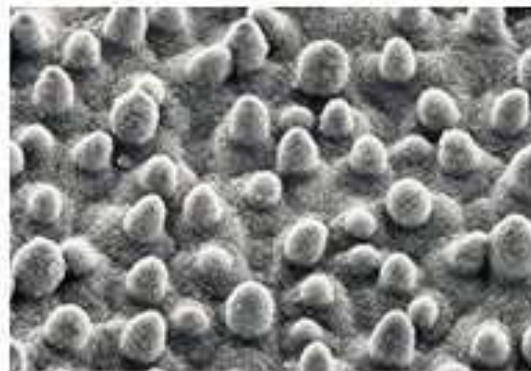
Particles absorb at different wavelengths depending on the size of particles



# Example# 1: Self-Cleaning Surfaces (Lotus Effect)

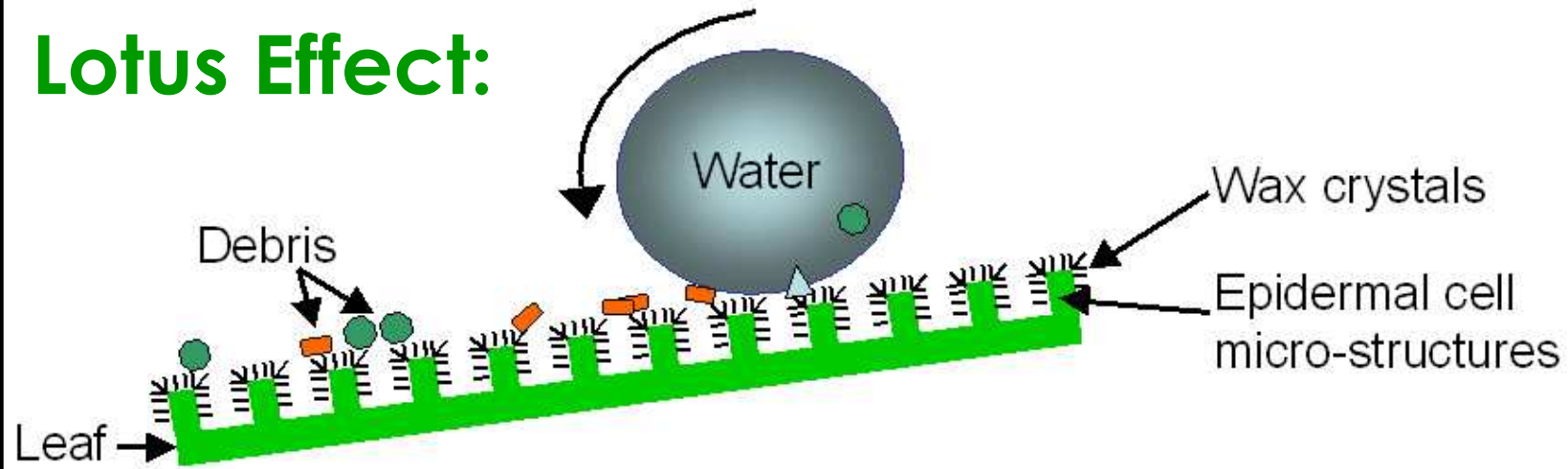
■ ผิวหน้าของใบบัว  
ประกอบด้วย (1) สาร Wax ที่  
กันน้ำ และ (2) โครงสร้าง  
ขนาดเล็ก คล้ายหนามจำนวน  
มหาศาลและมีการกระจาย  
ตัวอย่างเป็นระเบียบ โดยหนาม  
แต่ละอันมีความเล็กขนาดนา  
โนเมตร ส่งผลให้ผิวด้านหน้า  
ของใบบัวมีลักษณะขรุขระ

■ Hydrophobicity และหนาม  
เหล่านี้ส่งผล ทำให้เมื่อหยด  
น้ำตกลงมากระทบใบบัว ทำให้  
พื้นที่ผิวสัมผัสระหว่างน้ำกับ  
ใบบัวนั้นน้อยมาก

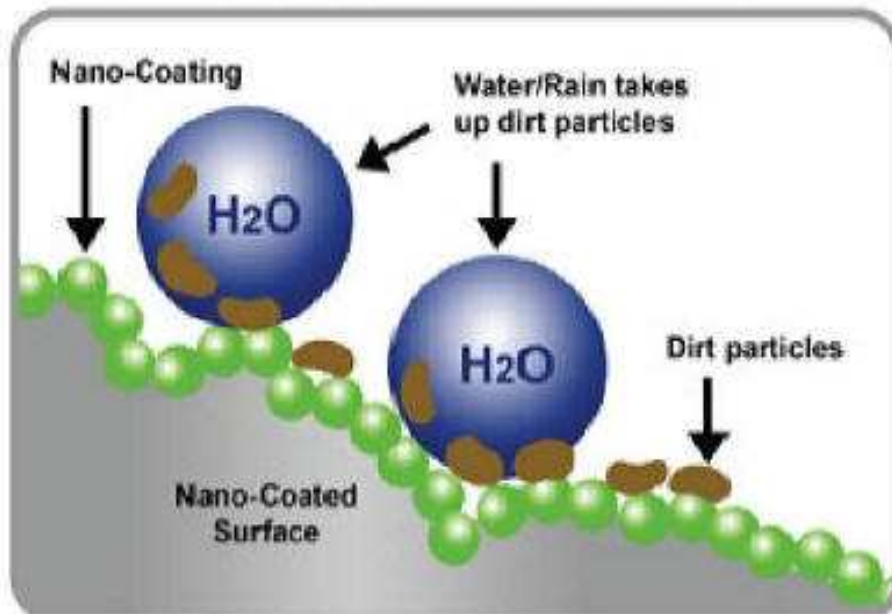




## Lotus Effect:

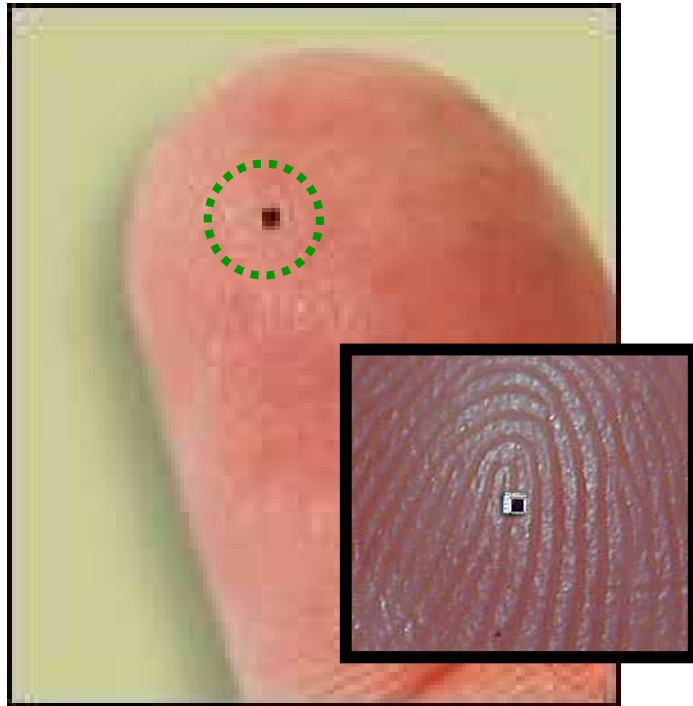


## ... Biomimetics: Borrowing from Biology ...



การสร้างเสื้อผ้าที่กันน้ำได้  
การผลิตสีที่มีคุณสมบัติในการทำความสะอาดตัวเองได้

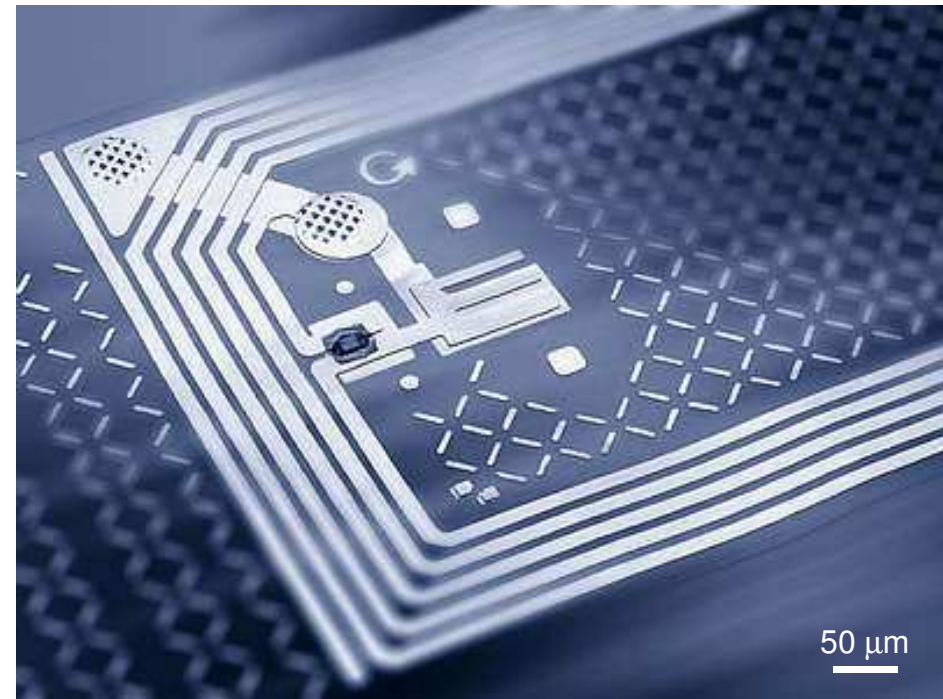
# Example#2: Computing and Data Storage



## Smart Code Debuts Smallest Chip

- The world's smallest and thinnest **Radio Frequency Identification chips** (RFID) tags were introduced this year by **Hitachi**.
- Tiny miracles of miniaturization, these RFID chips measure just 0.05 x 0.05 millimeters.
- RFID tags is used similar to bar code.

RFID ย่อมาจากคำว่า Radio Frequency Identification คือ ระบบเก็บข้อมูลทางอิเล็กทรอนิกส์ ที่เพิ่มความสามารถในการคำนวณและการรักษาความปลอดภัยของข้อมูล และส่งกำลังโดยคลื่นแม่เหล็กหรือคลื่นแม่เหล็กไฟฟ้าแทนการสัมผัสทางกายภาพ เป็นการเอาคลื่นวิทยุมาเป็นคลื่นพาหะ เพื่อใช้ในการสื่อสารข้อมูล

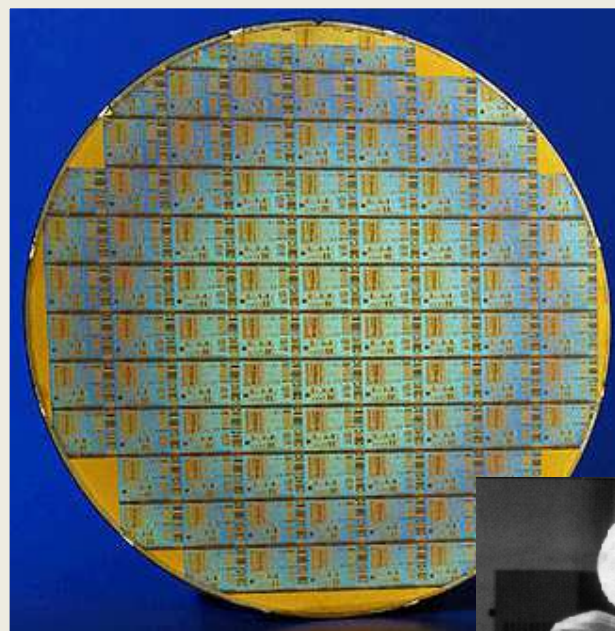




# Applications of RFID Tags



To create small-scale structures..  
we ask “**engineers**” for a little help

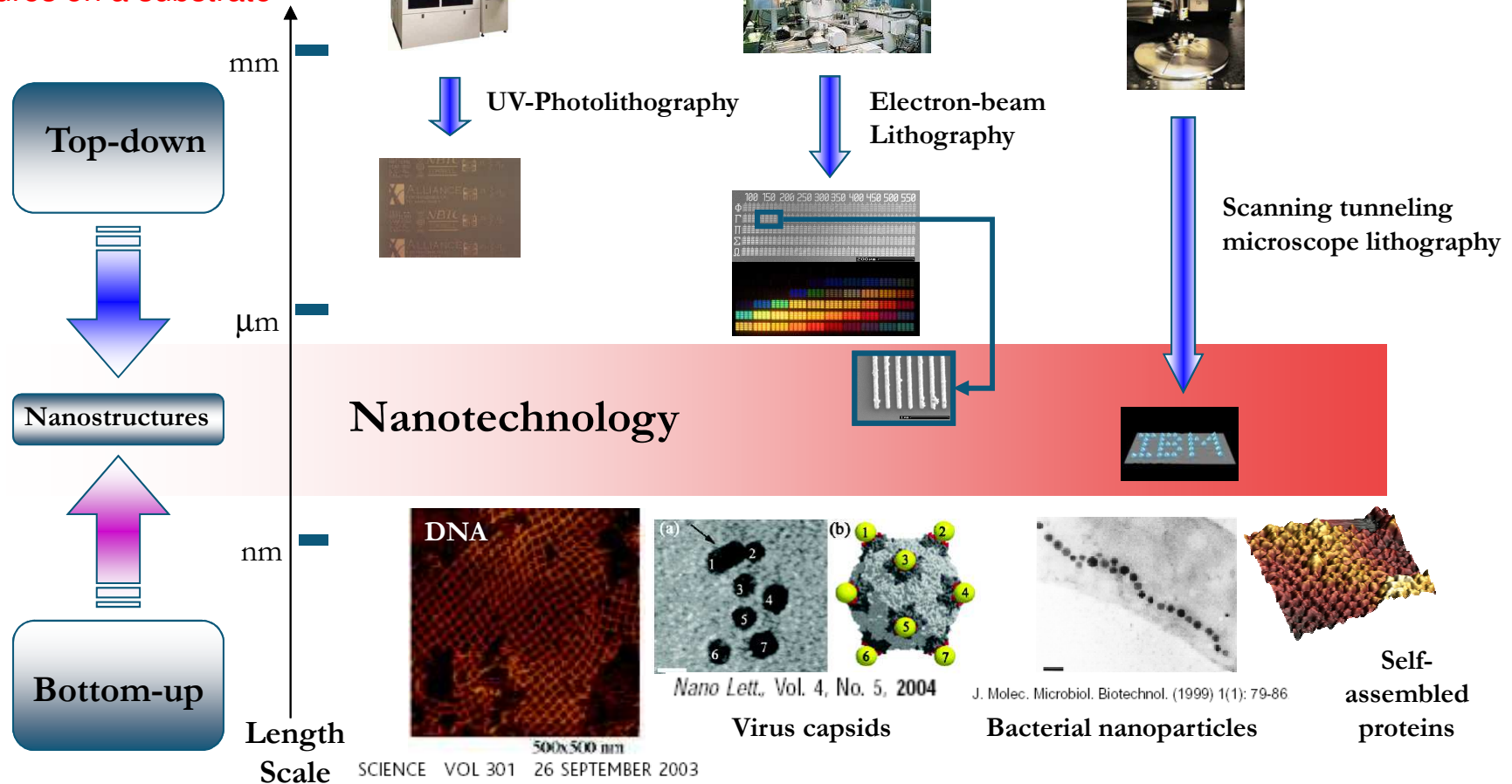




# Nanofabrication Approaches

## Conventional Micro/Nanofabrication Technology in Semiconductor Industry

“Big tools” to create small structures on a substrate



Creating small structures using “biological systems”

**Bionanofabrication** – a process that takes advantage of **structural specificity** and/or **catalytic efficiency** of the biological systems to create various types of micro/nanostructures

# **Nanotechnology** has been thought of as the new revolution in numerous fields including:

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- Electronic and computing
- Semiconductor industry
- Materials science and manufacturing
- Biology and biotechnology
- Medicine and health
- Agriculture and veterinary
- Environmental and energy
- Food Science
- Textiles and cosmetics
- Paint, *et cetera*.

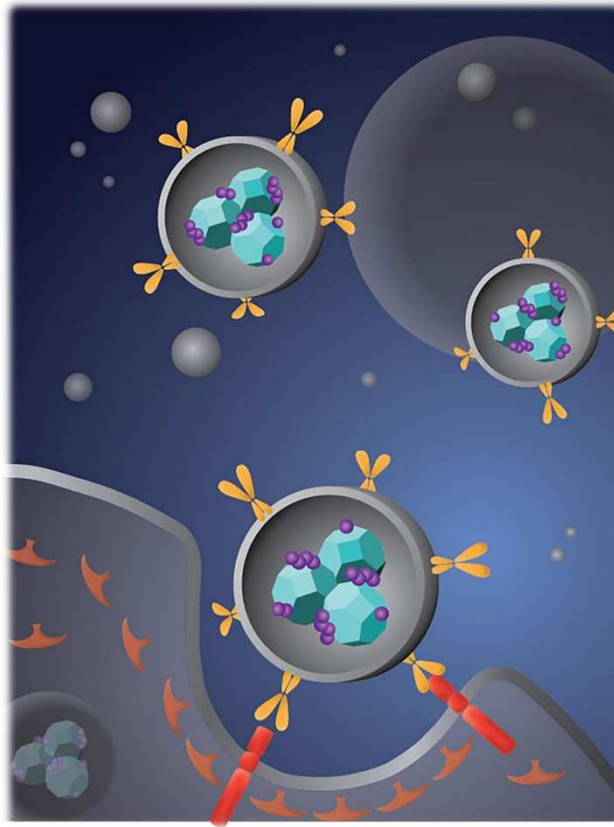


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# Why **Nanotechnology** in Drug delivery ?



# Drug delivery through history

**1656**

Sir Christopher Wren (UK) used a quill attached to a small bladder to inject dogs with opium, then experimented on humans.

**1830s**

French physicians implanted pellets of morphine and other drugs beneath the skin with darning needles.



**1843**

William Brockedon (UK) got a patent for manufacturing pills by hammering dry medicinal ingredients into **a tablet**.

**1855**

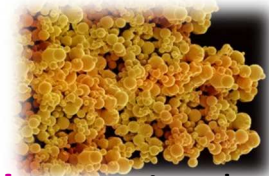
Alexander Wood published the first paper on subcutaneous injection of drugs

**Early 1950s**

First time-release drug—Nitroglyn—for patients with angina. Multiple coatings on drug pellets in a capsule dissolved at different rates, sustained levels of drug longer in the body

**1972**

Tiny lipid bubbles called **liposomes** were first proposed as drug carriers; researchers surmised that they would slip more easily through tumors' leaky vessels and into cancerous tissue to deliver their payload.



**1981**

The first **transdermal patch** contained an anti-motion-sickness drug that was absorbed by the skin directly into the circulation, bypassing the liver's filter.

**1980s**

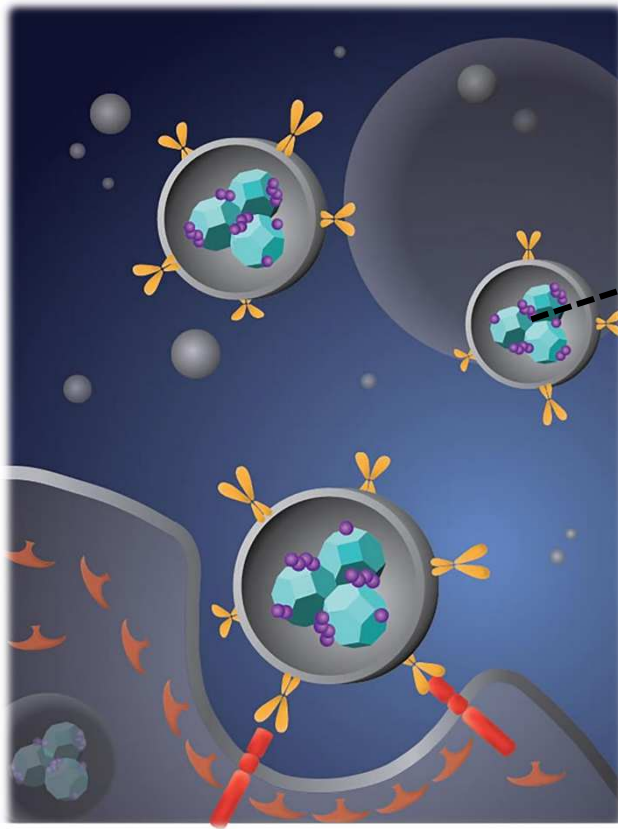
Robert Langer (MIT) developed a **nano-carrier made of polymers** that slowly released drugs to kill cancer cells in the brain without toxicity to the rest of the body.

**2003**

The first **drug-eluting coronary stent** was approved by the FDA.



# Why **Nanotechnology** in Drug delivery ?



Chemical compounds  
Proteins  
DNA  
RNA

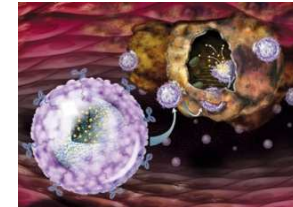
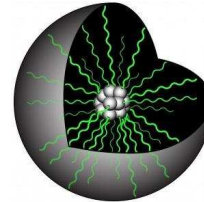
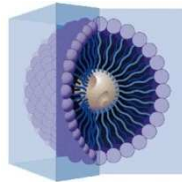
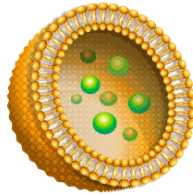
## Issues:

- Instability (i.e. light sensitive)
- Aggregation (i.e. hydrophobic drugs)
- Too fast action
- Non-specific delivery



# Nanotechnology for Drug Delivery

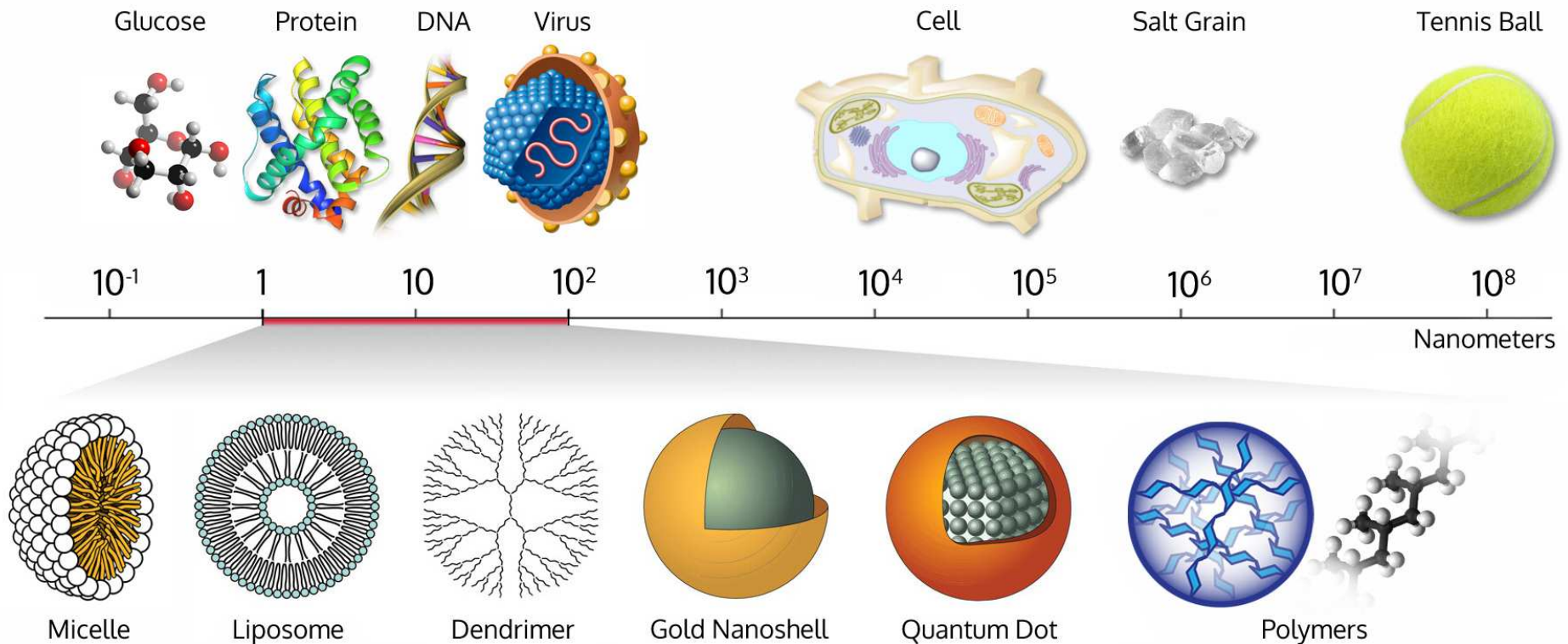
## “Drug encapsulation”



## Advantages of nanoparticles:

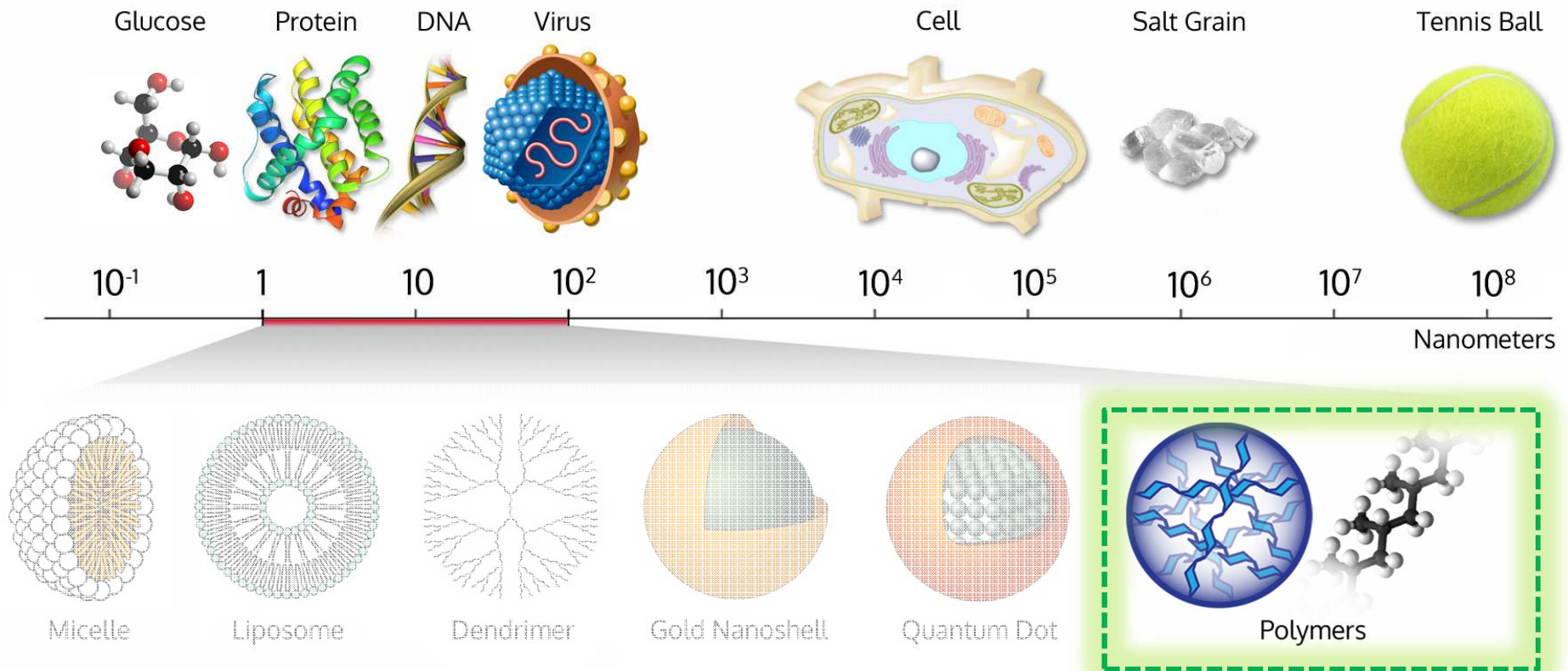
- **Stability and long half-life**
- **Ability for slow released profile**
- **Feasible for surface modification for targeting purpose**
- **Passive transportation for sub-200 nm nanoparticles**

# Nano-carriers for Drug Delivery



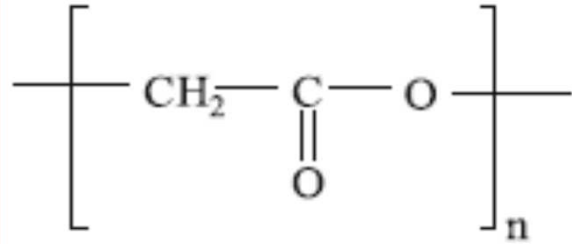
**Special delivery vehicles such as liposomes, oil-based dispersions or micelle systems, dendrimer, inorganic and polymeric nanoparticles**

# Nano-carriers for Drug Delivery

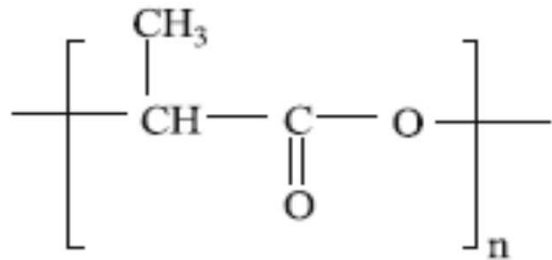


Special delivery vehicles such as liposomes, oil-based dispersions or micelle systems, dendrimer, inorganic and **polymeric nanoparticles**

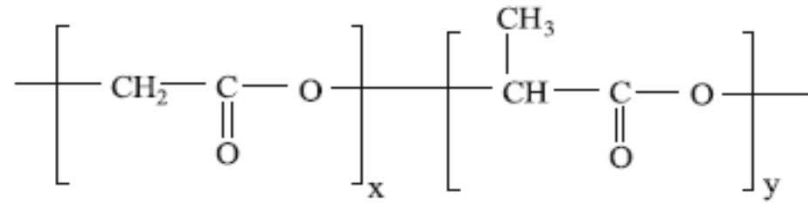
# Biodegradable polymers in biomedical areas



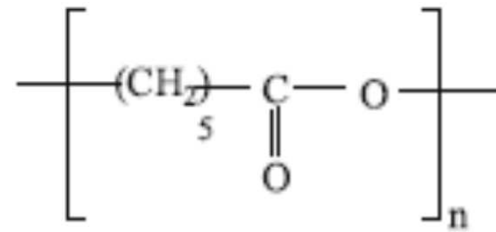
**Poly(glycolic acid), PGA**



**Poly(lactic acid), PLA**

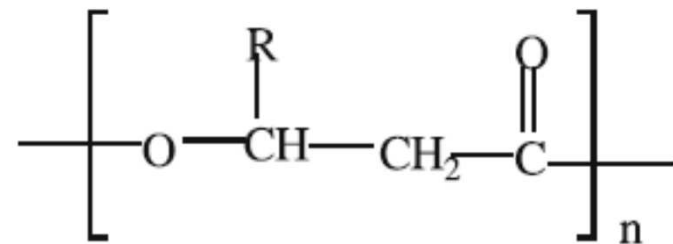


**Poly(lactide-co-glycolide), (PLGA)**



**Poly(caprolactone), PCL**

- Controlled drug delivery
- Scaffolds for tissue engineering

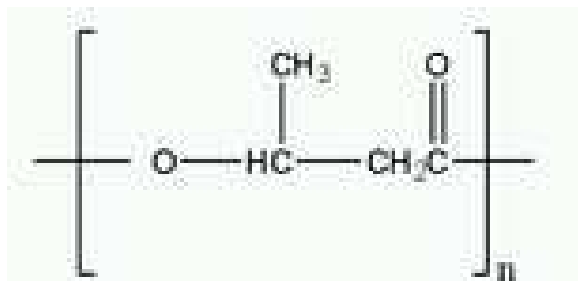


**Polyhydroxyalkanoates, PHAs**

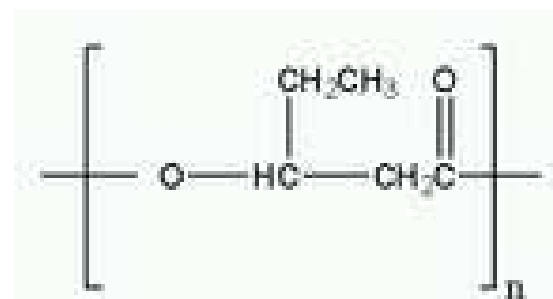


# PHAs Physical Properties for Controlled Drug Delivery

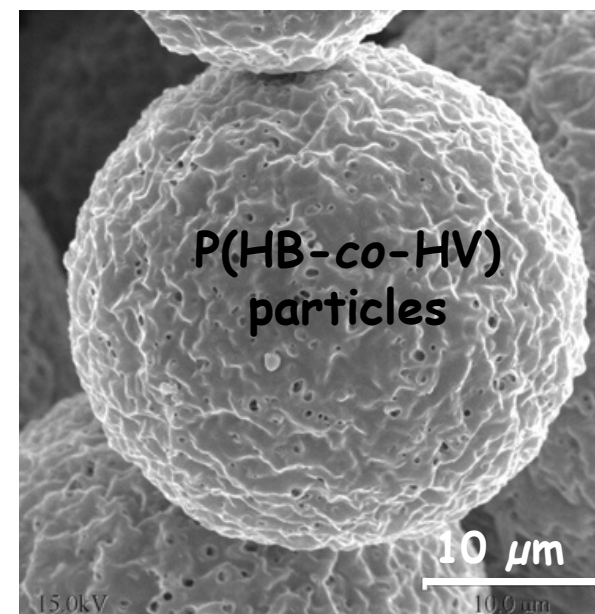
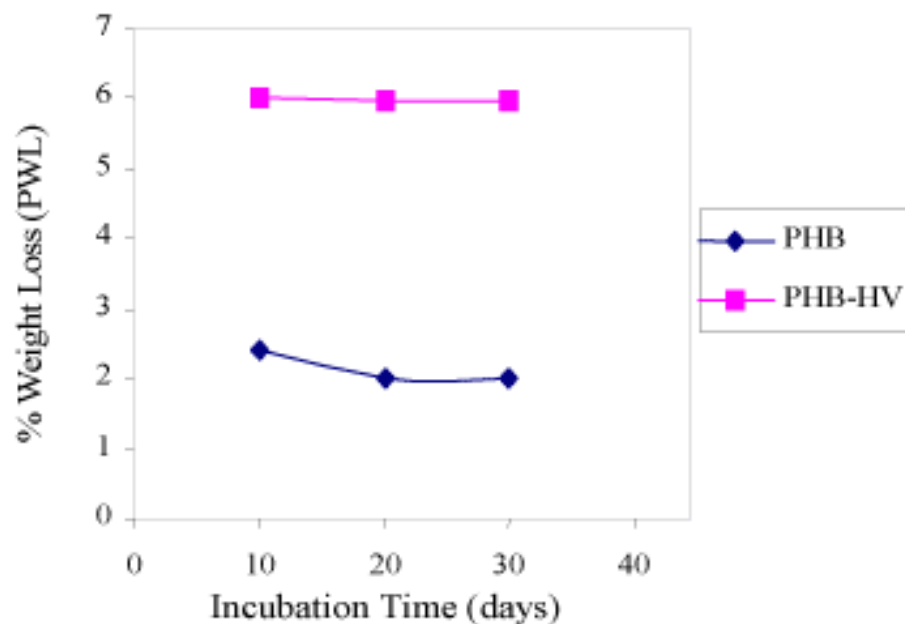
- Biocompatibility and biodegradability
- **Adjustable physical properties** by types of PHA monomers



Polyhydroxybutyrate, PHB



Polyhydroxyvalerate, PHV

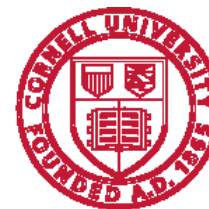


J. L. Maia, The effect of some processing conditions on the characteristics of biodegradable microspheres obtained by an emulsion solvent evaporation process, Braz. J. Chem. Eng. (2004)

Maia, J. L. *et al.*, 2003

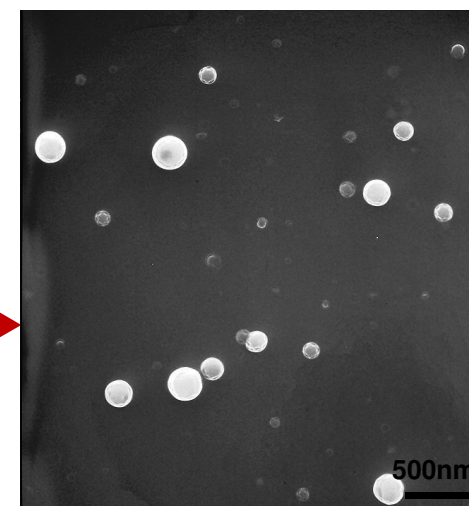
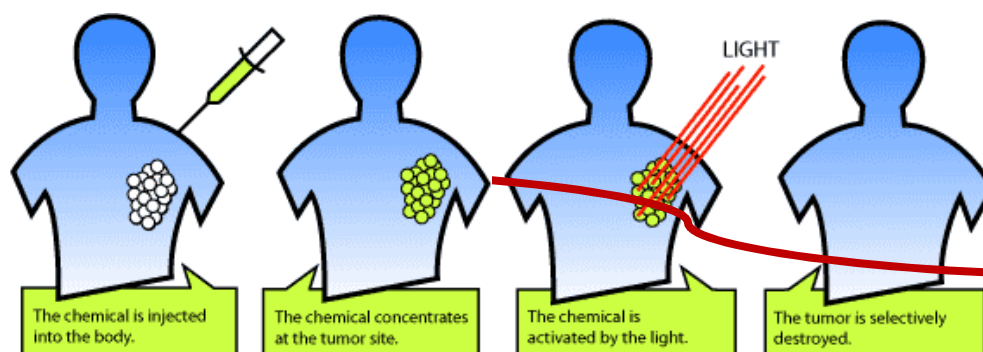


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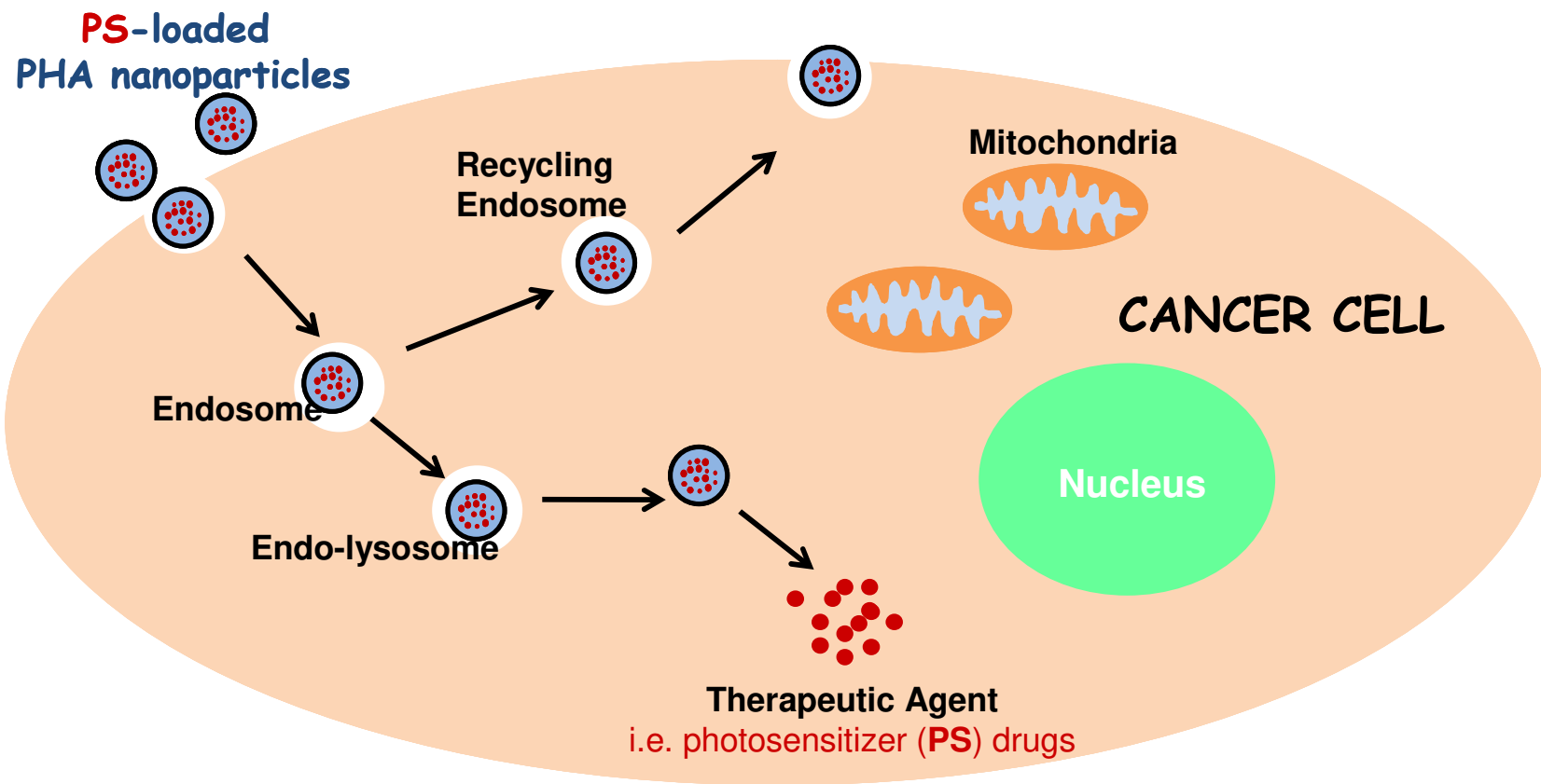
  
**UNIVERSITY  
AT ALBANY**  
State University of New York

## Development of **polyhydroxyalkanoate sub-200 nm nanoparticles** as anti-cancer drug delivers for photodynamic therapy

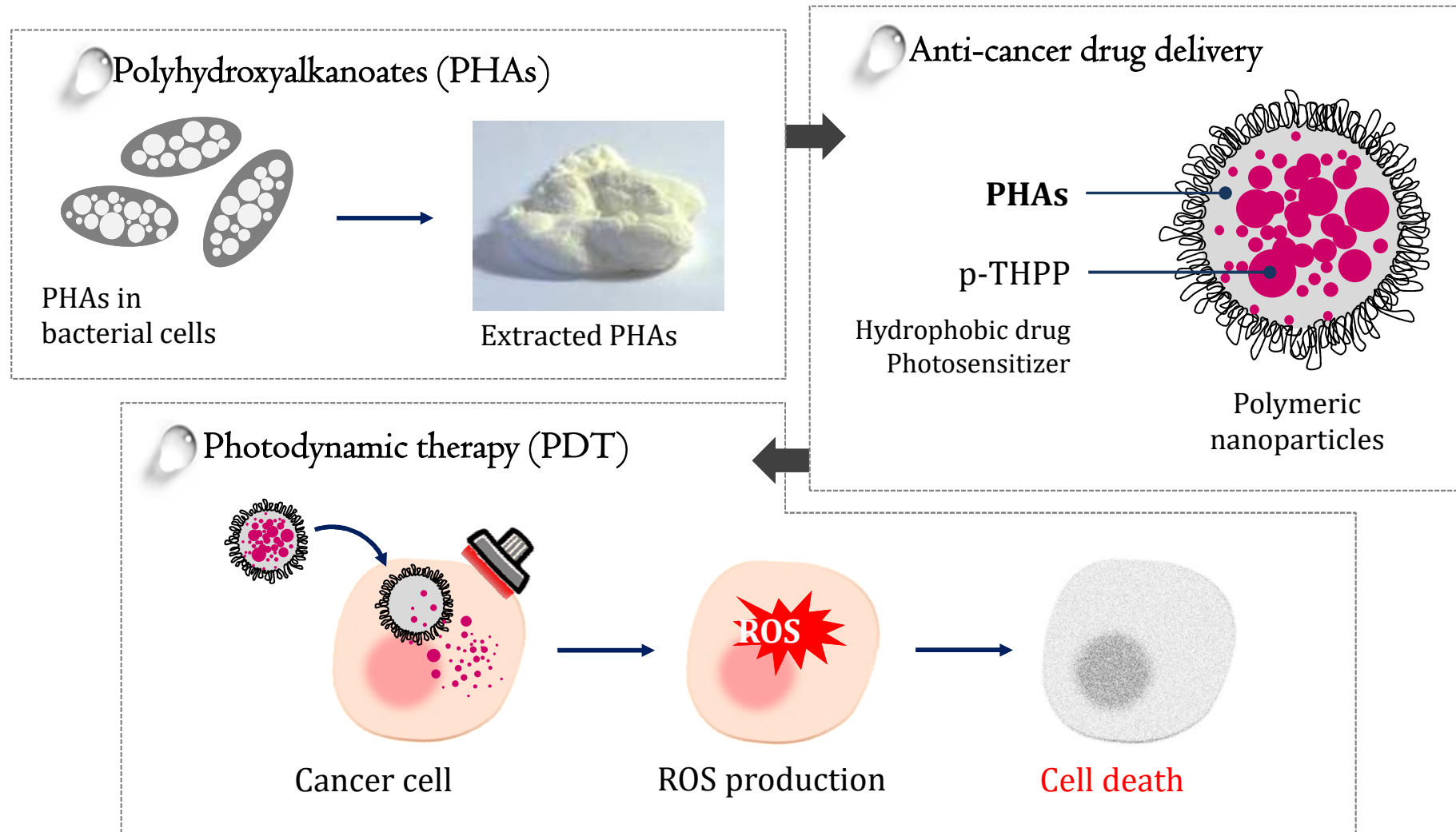


Development and characterization of bio-derived polyhydroxyalkanoate nanoparticles as a delivery system for hydrophobic photodynamic therapy agents.  
Pramual, S., Assavanig, A., Sunintaboon, P., Bergkvist, M., Batt, C. A., Lirdprapamongkola, K., Svasti, J., N. Niamsiri,  
J Mater Sci Mater Med 2016 Feb 28;27(2):40.

# Why **sub-200 nm** encapsulation of drug can help killing more cancer cells?



# The application of bacterial biopolymer as a nanocarrier for anti-cancer drug delivery in photodynamic therapy

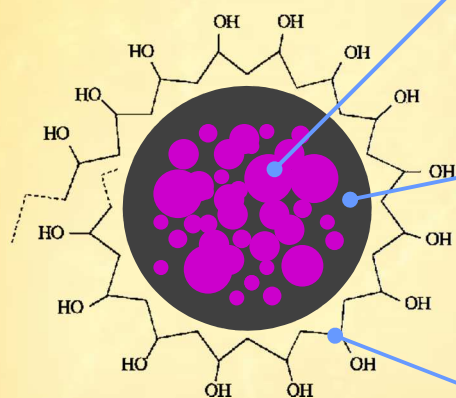




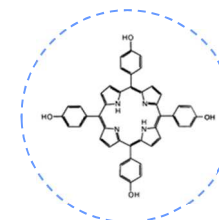


# Our formulation strategy:

Drug-loaded PHA nanoparticles



**Anti-cancer drug :: p-THPP**  
meso-tetra(p-hydroxyphenyl)porphyrin



## PHA types

- PHB
- P(HB-co-**12%**HV)
- P(HB-co-**50%**HV)

**Stabilizer :: Poly(vinyl alcohol), PVA**

$M_w = 30,000-70,000$  [87-89% Hydrolyzed]

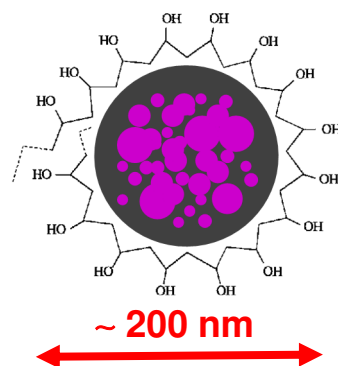
# Nanoparticle characterizations



## Initial drug loading: 10%

Polymer types	Size (nm)	Zeta potential (mV)	Drug loading (%)	Entrapment efficiency (%)
PHB	$208.3 \pm 3.1^b$	-2.1	$2.34 \pm 0.50^a$	$23.44 \pm 4.96^a$
P(HB-co- <b>12%</b> HV)	$198.2 \pm 3.4^a$	-1.0	$4.10 \pm 1.18^b$	$41.05 \pm 11.78^b$
P(HB-co- <b>50%</b> HV)	$199.7 \pm 5.9^a$	-1.1	$4.17 \pm 0.45^b$	$41.71 \pm 4.53^b$

Mean with different superscript letters within the same bar are significantly different ( $p \leq 0.05$ ) as determined by Duncan's test.



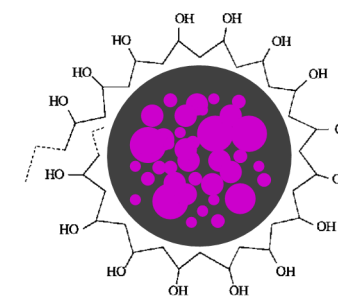
# Nanoparticle characterizations



## Initial drug loading: 10%

Polymer types	Size (nm)	Zeta potential (mV)	Drug loading (%)	Entrapment efficiency (%)
PHB	$208.3 \pm 3.1^b$	-2.1	$2.34 \pm 0.50^a$	$23.44 \pm 4.96^a$
P(HB-co- <b>12%</b> HV)	$198.2 \pm 3.4^a$	-1.0	$4.10 \pm 1.18^b$	$41.05 \pm 11.78^b$
P(HB-co- <b>50%</b> HV)	$199.7 \pm 5.9^a$	-1.1	$4.17 \pm 0.45^b$	$41.71 \pm 4.53^b$

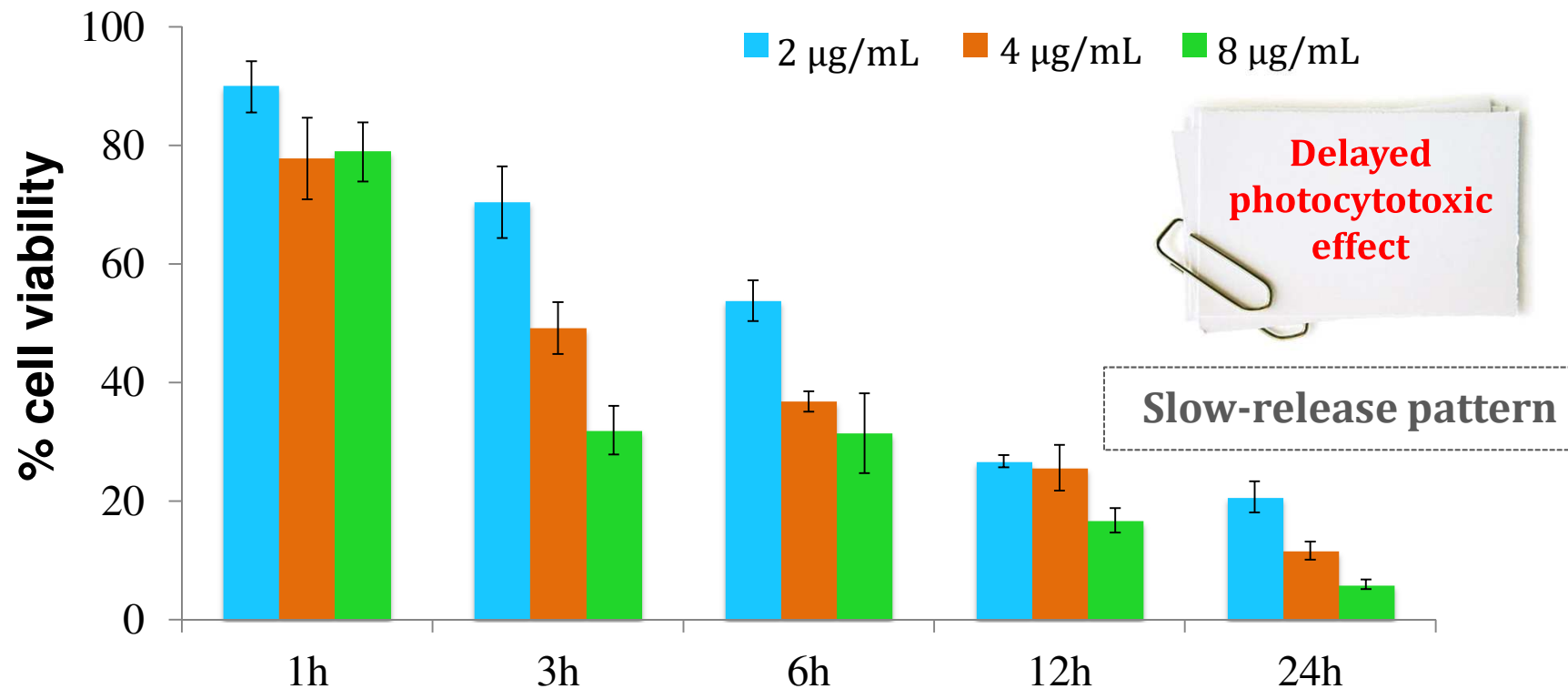
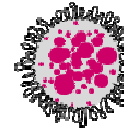
Mean with different superscript letters within the same bar are significantly different ( $p \leq 0.05$ ) as determined by Duncan's test.



# Photocytotoxicity testing by MTT assay



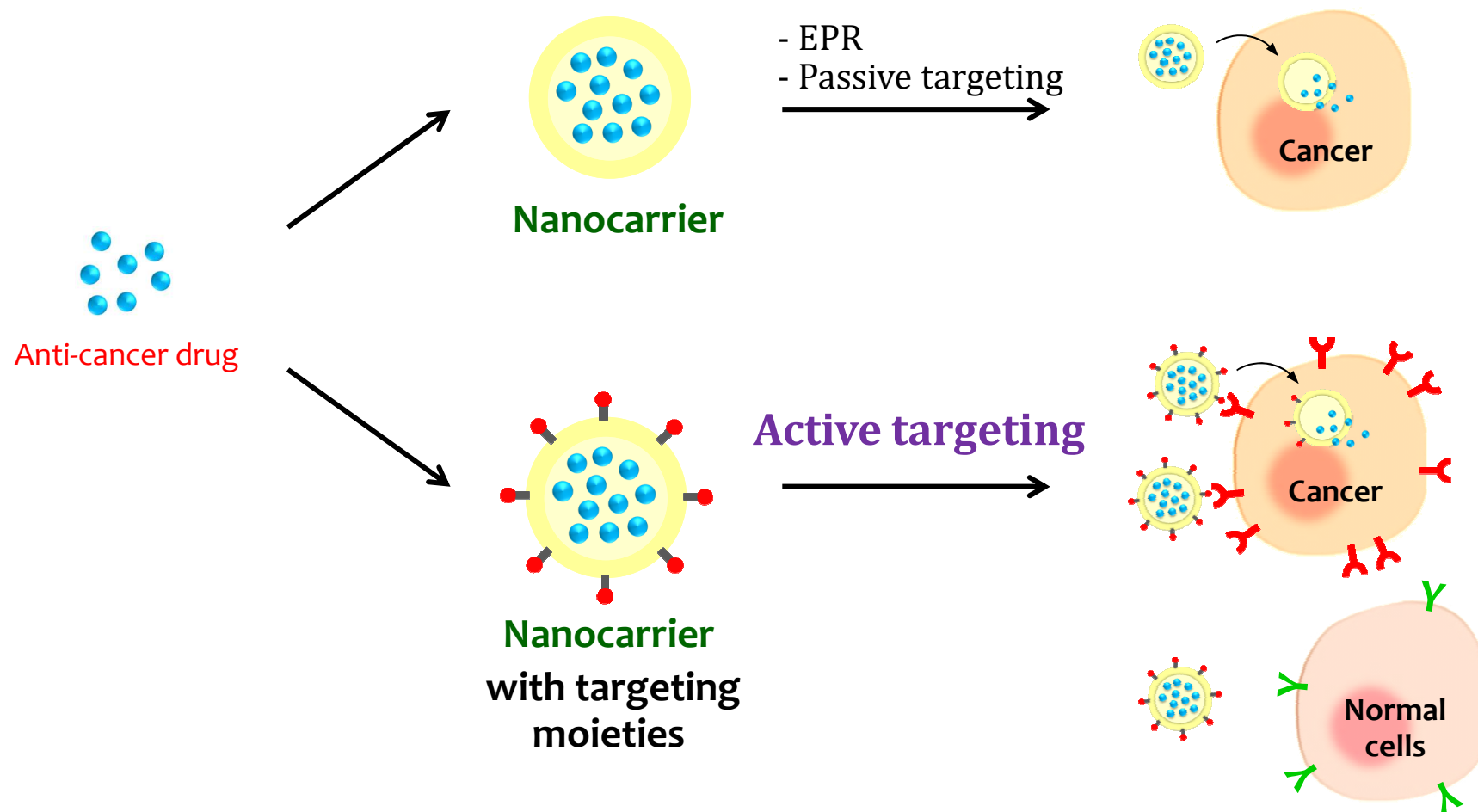
P(HB-co-50%HV) nanoparticles



**PHAs as a nanocarrier could promote a slow-release of hydrophobic anti-cancer drug for PDT.**



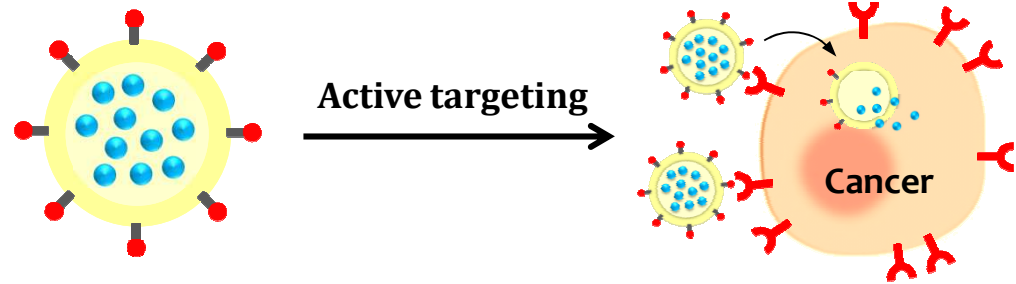
# Active Nano-carriers for Drug Delivery



# Active Targeting:

Moieties used as targeting agents:

- **Antibodies**
- Vitamins
- Carbohydrates
- Aptamers
- Peptides (Arg-Gly-Asp etc.)
- *trans*-activating transcriptional activator
- Proteins (e.g. lectins, and transferrin)



- *To be effective, the target agent/target affinity should be relatively high*
- *Target agent should also have low affinity to other binding sites.*
- *Multivalency is a plus.*

Endocytosis pathway	Particles size limit	Proteins involved	Stimuli
Phagocytosis	Up to 20 $\mu\text{m}$	Actin, dynamin	Antibody Fc region, complement, vitronectin, phosphatidylserine
Macropinocytosis	$\sim 1 \mu\text{m}$	Actin	Growth factor, antigen binding
Caveolae-mediated	$\sim 80 \text{ nm}$	Caveolae, actin, dynamin	Cholera toxin, tetanus toxin, folic acid
Clathrin-mediated	$\sim 200 \text{ nm}$	Clathrin, actin, dynamin	Transferrin, low-density lipoprotein, EGF

# Material systems in drug delivery & nanomedicine

## Polymers



- Polyesters (PLGA/PCL/PHA etc.)
- Block-co-polymers (PEGs etc.)
- Hydrogels
- Natural polymers (chitosan/alginate etc.)
- PMMA/PET/PVA etc.
- Phosphorylcholine containing polymers

## Inorganic

- Gold
- Silver
- Fe(ox)
- Silica
- Manganese(ox)
- Cobalt (ox)
- QDs

## Carbon Nanotubes

- SWNT
- MWNT

## Dendrimers/Dendrites

- PAMAM
- PPI
- MPA

## Liposomes

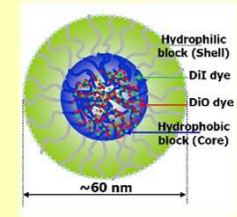
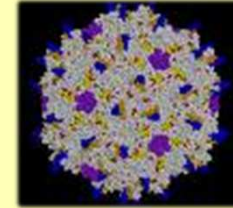
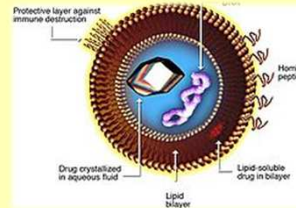
- DPPC
- DOTMA
- DC-Chol
- DOPC
- DOPG

## Biological

- Collagen/gelatin/fibrin
- Hyaluronan
- Recombinant proteins/conjugates
- Albumin
- Virus
- RNA/DNA (siRNA, assemblies)

*Several of these material systems can be (are) used on macro, micro, and/or nanoscale*

# Nanotechnology + Drug delivery



Provide more effective “**Adjuvant**” system



# Vaccine delivery

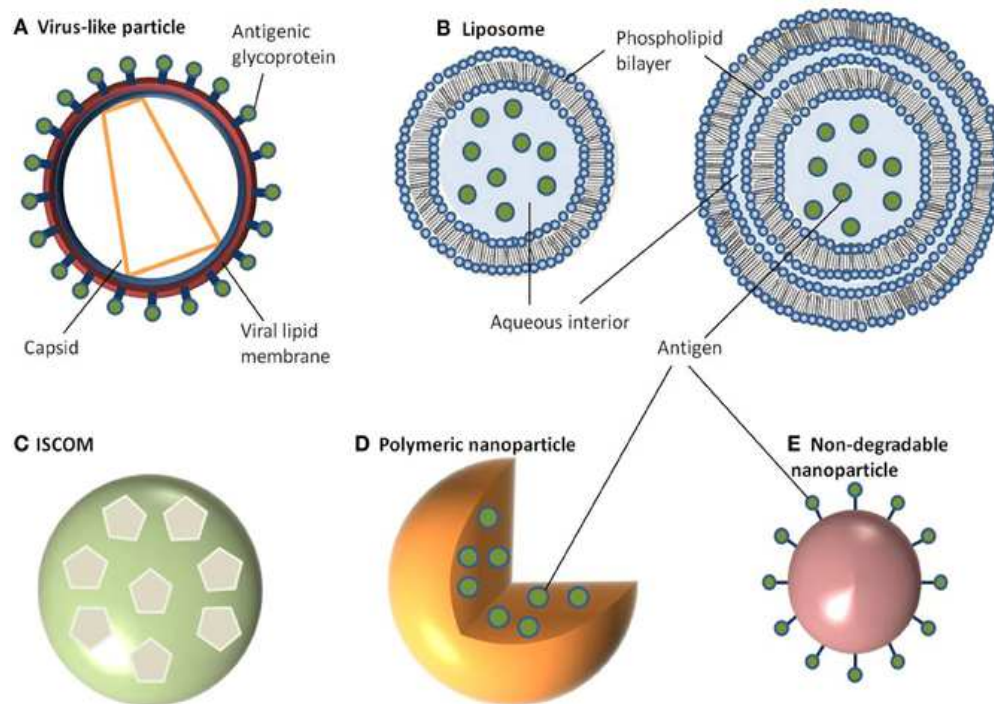
## Nanotechnology help improve:

- Instability of antigen
- Prevent aggregation
- Slow-released action
- Improve specific delivery
- **Increase immunomodulatory**



# Nanotechnology for Vaccine Delivery

## Biodegradable Nanoparticles as Vaccine Adjuvants and Delivery Systems: Regulation of Immune Responses by Nanoparticle-Based Vaccine



Adv Polym Sci (2012) 247: 31–64  
DOI: 10.1007/12\_2011\_150  
© Springer-Verlag Berlin Heidelberg 2011  
Published online: 20 October 2011

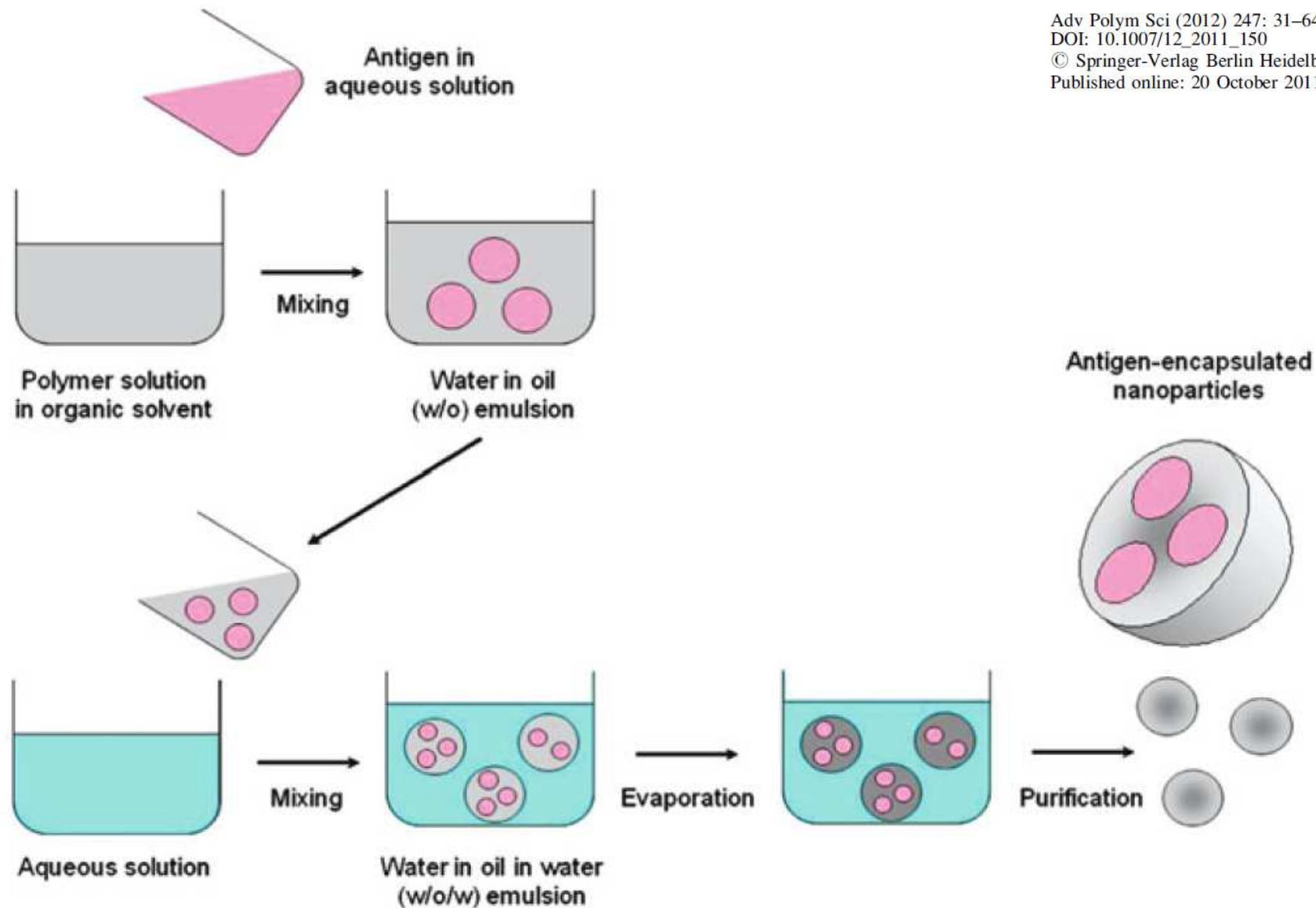
- The engineering of **nanotechnology materials**, including nanoparticles, nanoemulsions or nanotubules, holds great promise for the development of new **immunomodulatory agents**, as such
  - Nanostructures can be used to more effectively manipulate or deliver immunologically active components to target sites.
- Successful **applications of nanotechnology** in the field of immunology will enable new generations of vaccines, adjuvants and immunomodulatory drugs that aim to improve clinical outcomes in response to a range of infectious and non-infectious diseases.

**Table 1 – In vivo applications of nanocarriers for delivery of vaccines.**

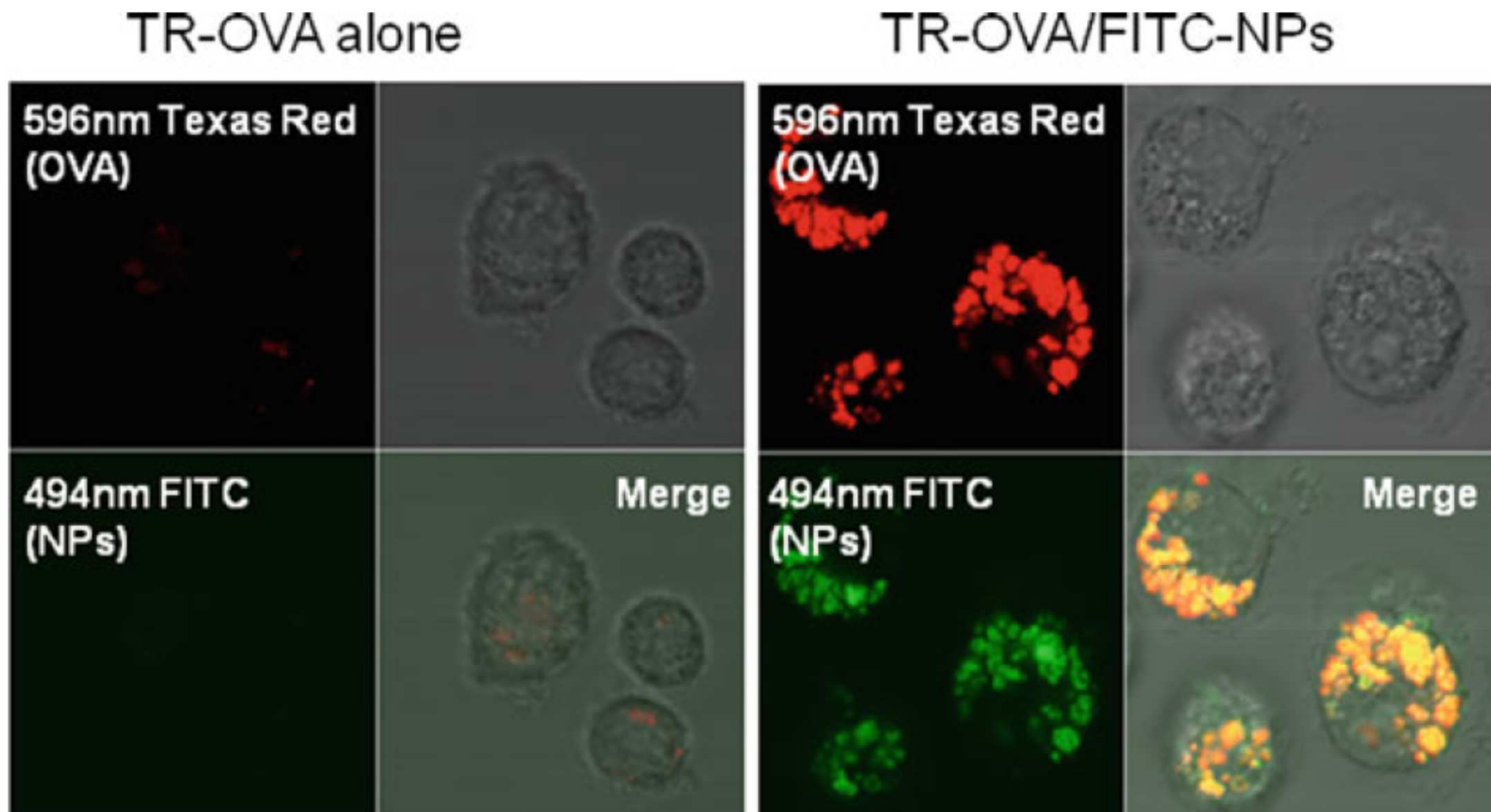
Delivery system	Composition	Antigen	Route	References
Liposome	DDA, TDB	Ag85B-ESAT-6	Intramuscular	[18]
	DDA, TDB	OVA	Intramuscular	[19]
	DDA, DODA, TDB	Ag85B-ESAT-6	Intramuscular	[20]
	Pegylated DDA, TDB	Ag85B-ESAT-6	Subcutaneous	[22]
	DDA, DSPC, cholesterol, TDB	Ag85B-ESAT-6	Subcutaneous	[23]
	MPL, DDA, TDB	OVA	Intraperitoneal	[24]
	DDA, TDB	Trivalent influenza vaccine	Subcutaneous	[25]
	DOPC, DOPG, MPB	OVA	Subcutaneous	[26]
	EPC, DOGS-NTA-Ni	His-tagged heat shock protein	Intradermal	[27]
	MDMPC, DMPG, cholesterol, MPL	Polyhistidinylated OVA	Subcutaneous	[28]
	Lecithin, cholesterol	Diphtheria toxoid	Subcutaneous	[29]
Emulsion	MF59	Hemagglutinin	Intramuscular	[34]
	MF59	Recombinant meningococcal B protein	Intramuscular	[36]
	MF59	Recombinant meningococcal B protein	Intramuscular	[35]
	W805EC	OVA	Intranasal	[39]
	W805EC	OVA	Intranasal	[40]
	GLA	Falciparum subunit	Subcutaneous	[41]
	GLA-SE	Plasmodium vivax subunit	Subcutaneous	[42]
	GLA-SE	Recombinant hemaagglutinin	Intramuscular	[44]
Synthetic polymer-based system	PLGA	OVA	Subcutaneous	[8]
	PLGA, polylactic acid	Hepatitis B surface antigen	Pulmonary	[45]
	Lipid-coated PLGA	OVA	Subcutaneous	[46]
	Lipid-coated PLGA	Malaria antigen	Subcutaneous	[47]
	Chitosan-coated polycaprolactone	H1N1 hemagglutinin	Intranasal	[48]
	Polyanhydrides	Yersinia pestis antigen	Intranasal	[49]
	Polylactic acid	Hepatitis B surface antigen	Subcutaneous	[51]
	Deacylated cationic polyethyleneimine	HIV CN54gp140 antigen	Pulmonary	[52]
	PEGylated poly [2-(N,N-dimethylamino)ethylmethacrylate]	HIV gag DNA	Intranasal	[53]
Natural biopolymer-based system	N-trimethyl chitosan	OVA	Intranasal	[54]
	Chitosan nanoparticles	HBsAg	Intraperitoneal	[56]
	Cholesteryl-conjugated pullulan	Clostridium botulinum type-A neurotoxin subunit antigen	Intranasal	[57]
Carbon-based system	SWCNT	Tuberculin purified protein derivative	Subcutaneous	[59]
	Carbon nanotube	Azoxystrobin	Subcutaneous	[60]
	Carbon magnetic nanoparticles	Hen egg lysozyme	Intravenous	[61]
	Carbon nanoparticles	Bovine serum albumin	Oral	[63]

# Preparation of antigen-encapsulating polymeric nanoparticles by w/o/w emulsion method

Adv Polym Sci (2012) 247: 31–64  
DOI: 10.1007/12\_2011\_150  
© Springer-Verlag Berlin Heidelberg 2011  
Published online: 20 October 2011



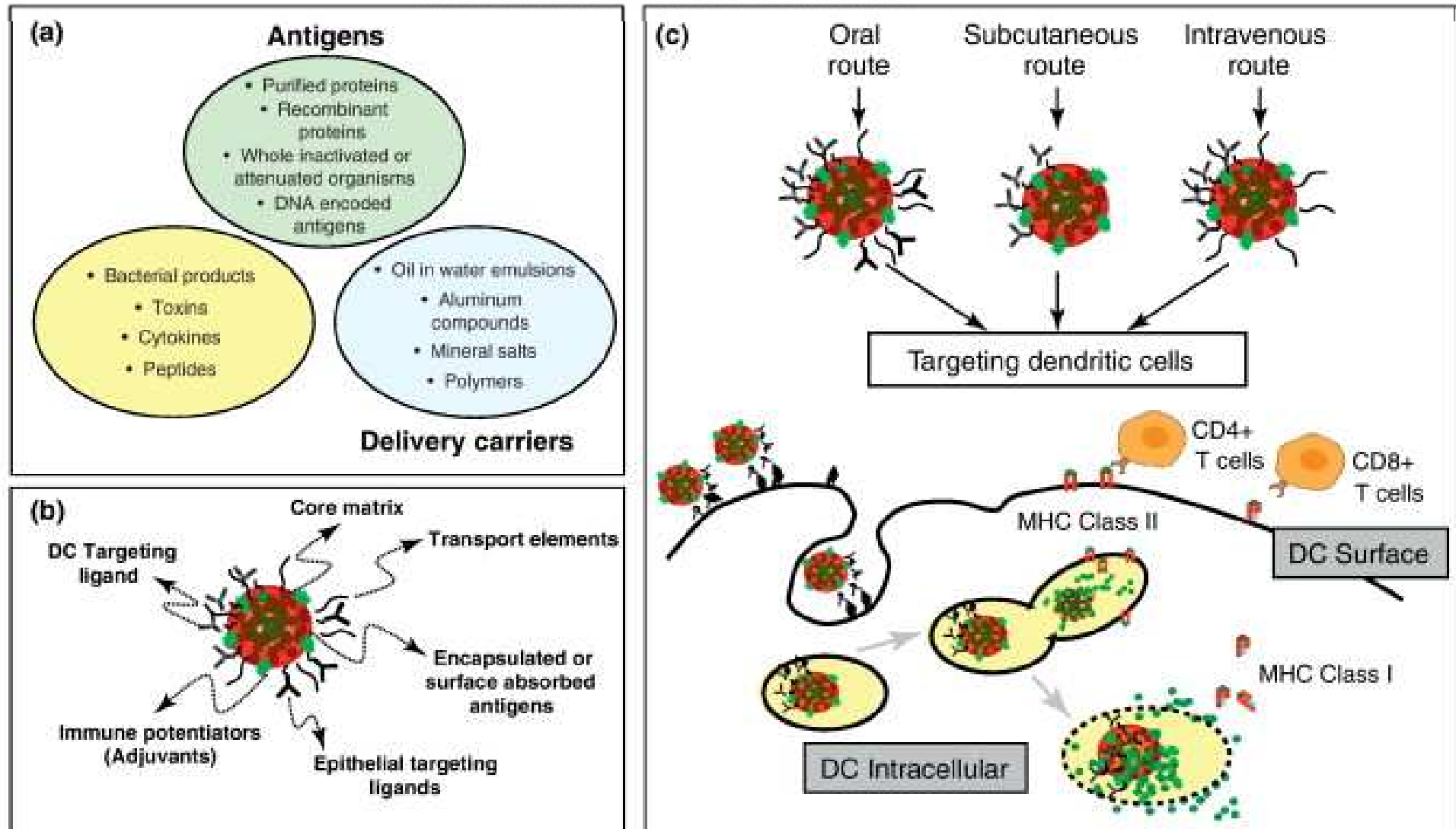




**Fig. 13** Uptake of OVA-encapsulating  $\gamma$ -PGA-Phe nanoparticles by DCs. DCs were incubated with Texas Red-labeled OVA (*TR-OVA*) alone (**a**) or TR-OVA encapsulated within fluorescein-labeled nanoparticles (*TR-OVA/FITC-NPs*) (**b**). The intracellular localization of OVA (*red*) and NPs (*green*) was observed by confocal laser scanning microscopy

# Improve Targeting for Vaccine Delivery

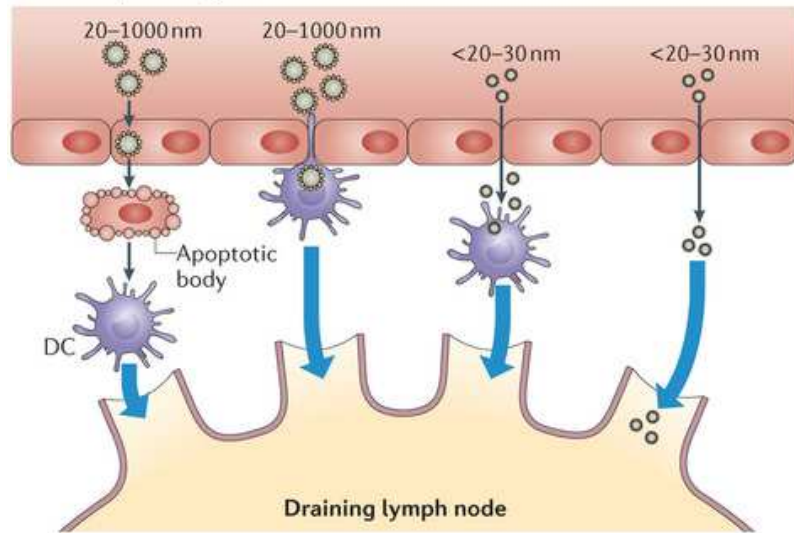
## Modular nano-construction for vaccines



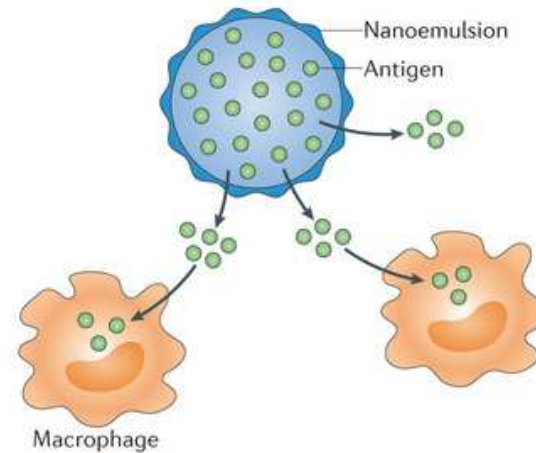


# Nanoparticles can alter the induction of immune responses

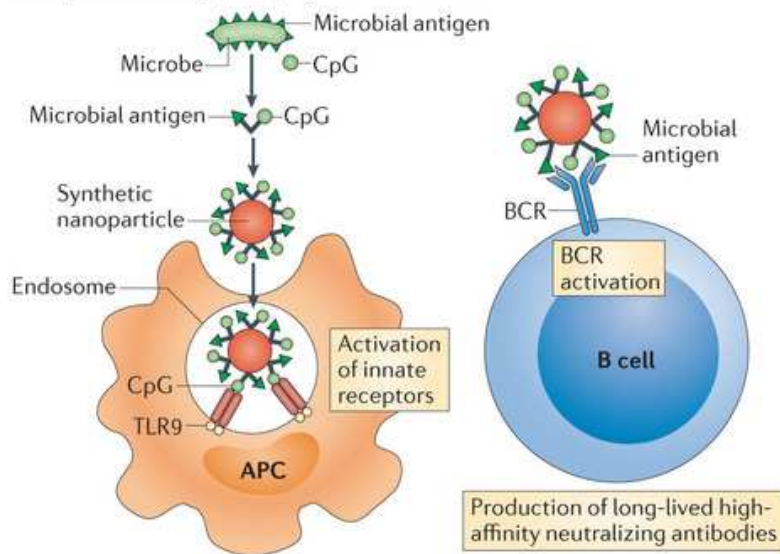
**a Delivery of antigens**



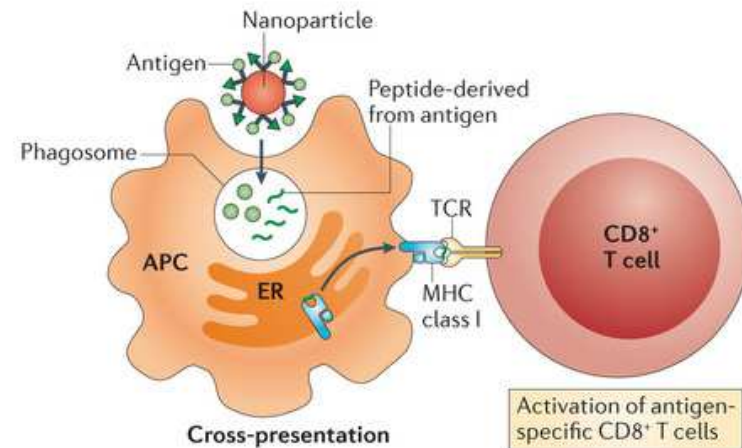
**b Depot effect**



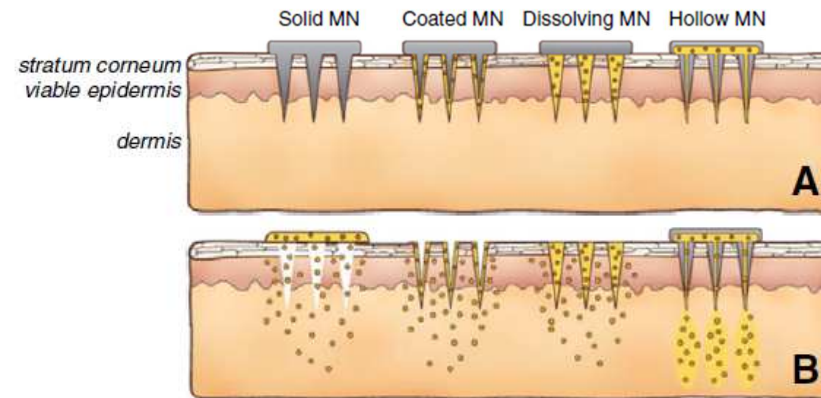
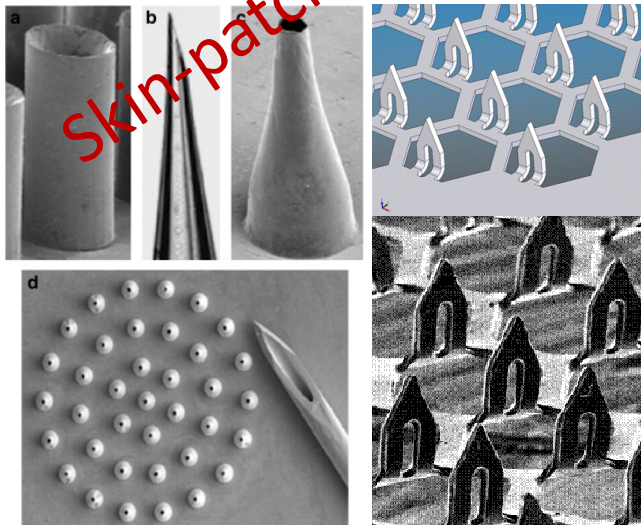
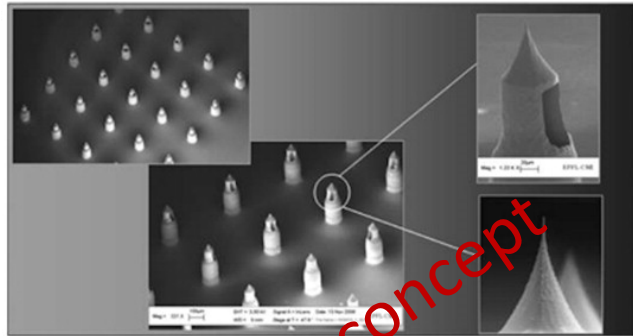
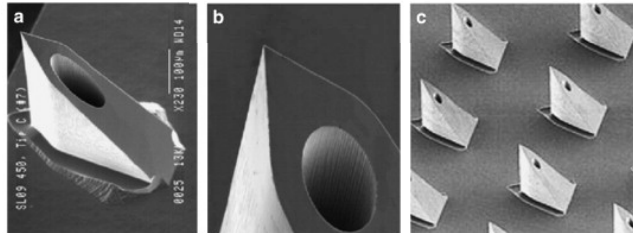
**c Repetitive antigen display**



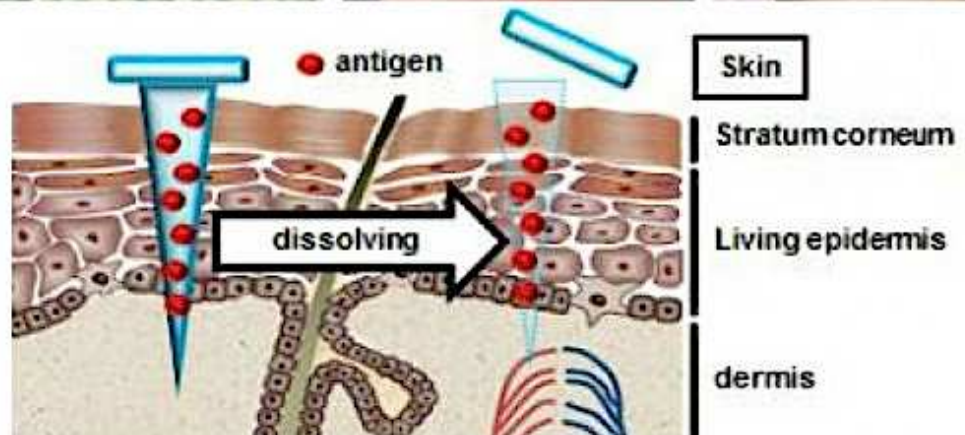
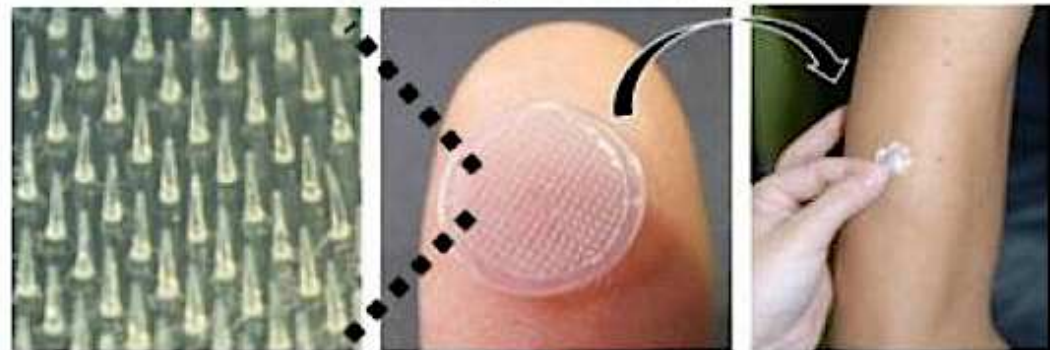
**d Cross-presentation**



# Microneedle in drug-delivery

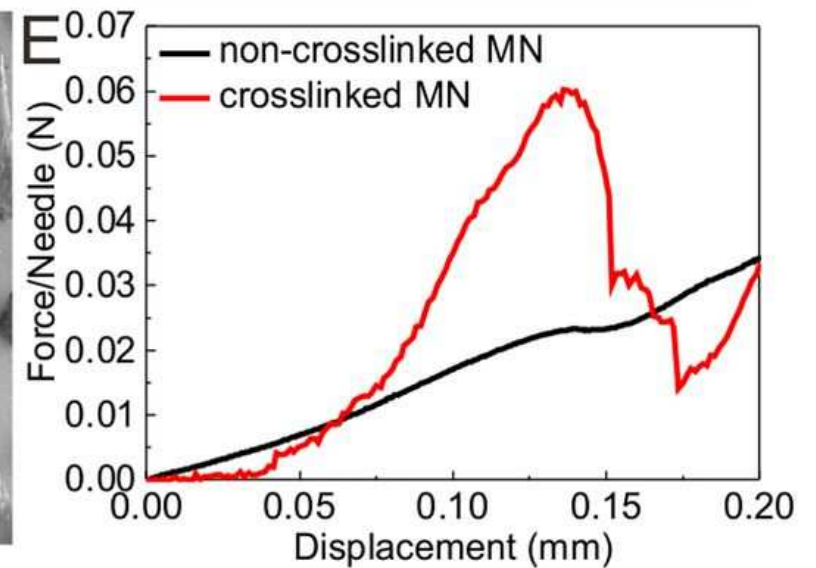
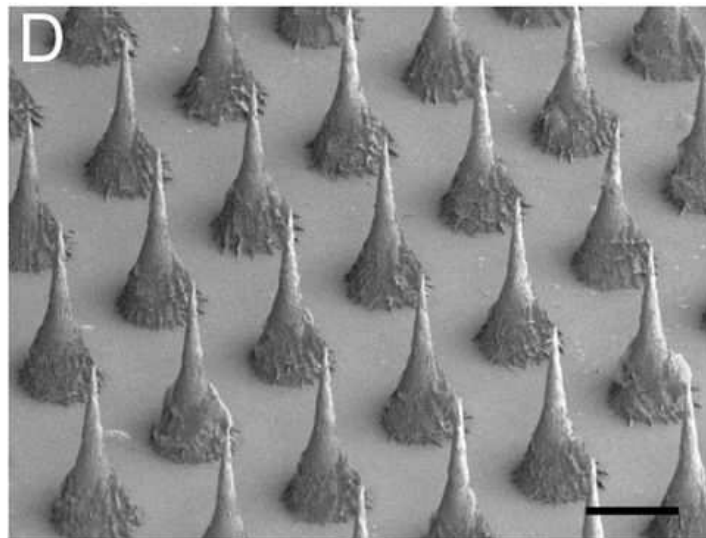
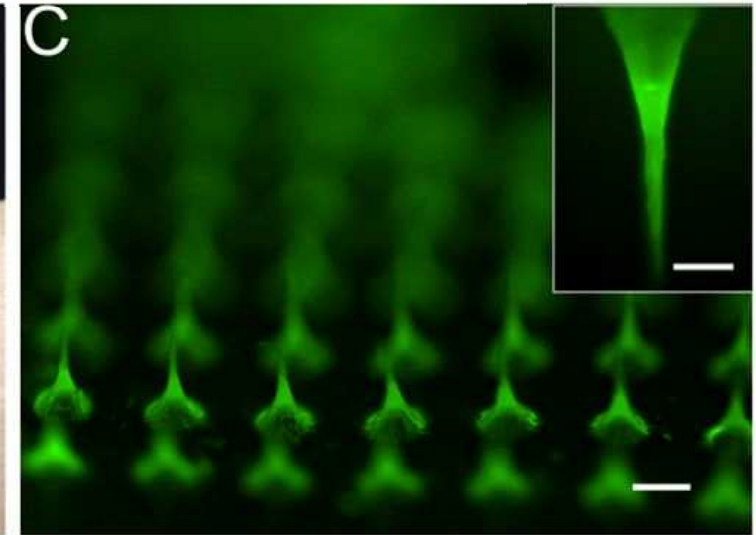
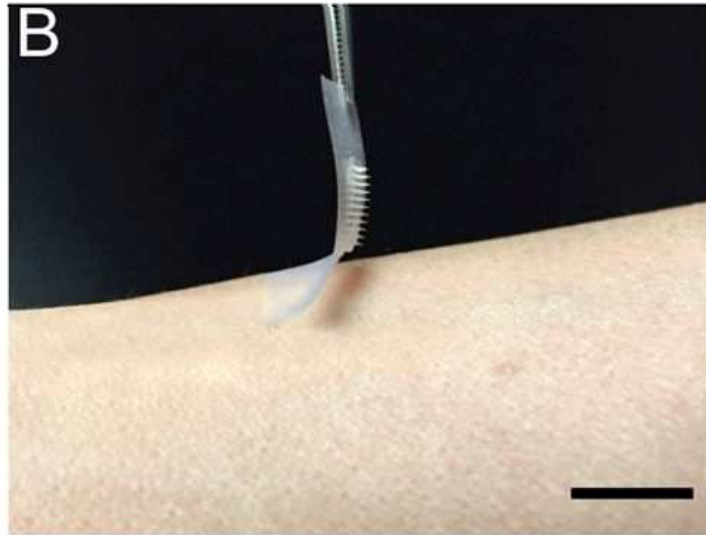
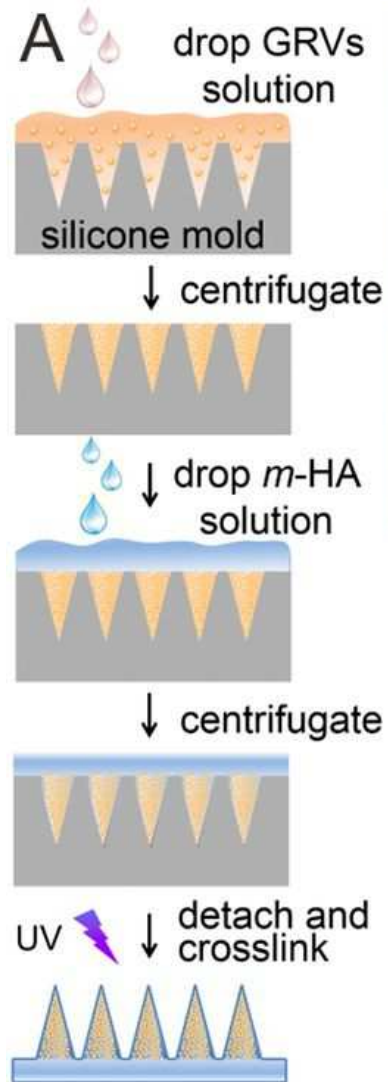


Dissolving microneedle patch





# Microneedle in drug-delivery



<http://www.pnas.org/content/112/27/8260/F8.large.jpg>

# Nano-delivery systems - Summary

In general “drug delivery systems” refers to:

- The construct of the drug/vaccine – its delivery vehicle
- Provides benefits (i.e. stable, controlled release, & active targeting) for delivery of drug/vaccine to target sites

**Common vehicles for delivering drug/vaccine:**

- Natural and synthetic polymers
- Liposomes

**Methods of Administration:**

- Enteral (Oral, feeding tube, rectal - stomach/GI tract adsorption)
- **Parenteral (Injection - Intravenous, intramuscular etc.)**
- Transmucosal (Under the tongue/nasal)
- Topical (Application on skin)
- **Transdermal (Medicated skin patches/adsorption through skin)**
- Inhalation and absorption of powdered drugs through the lungs.
- Implanted devices - drug release via external remote control (emerging tech.)

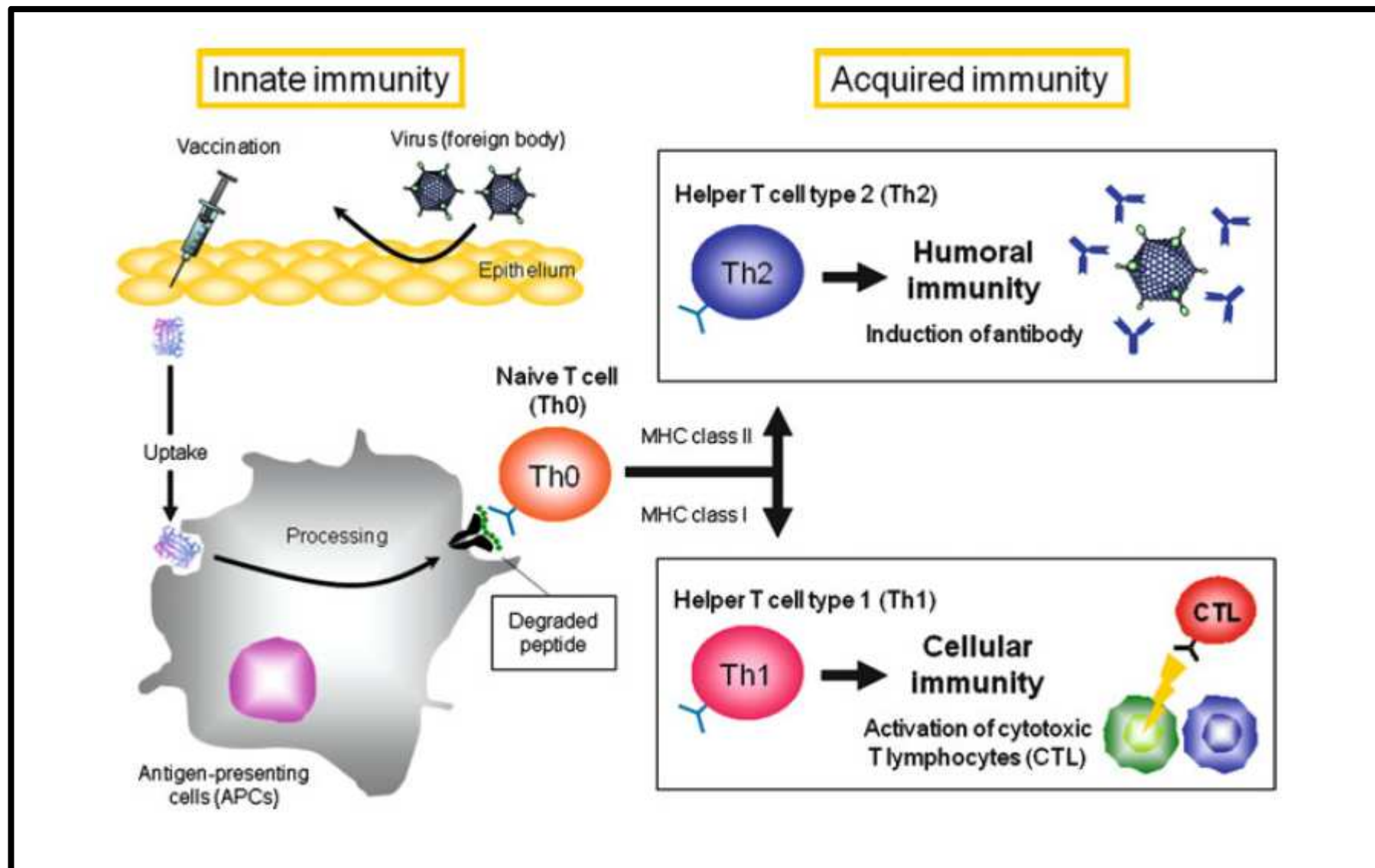




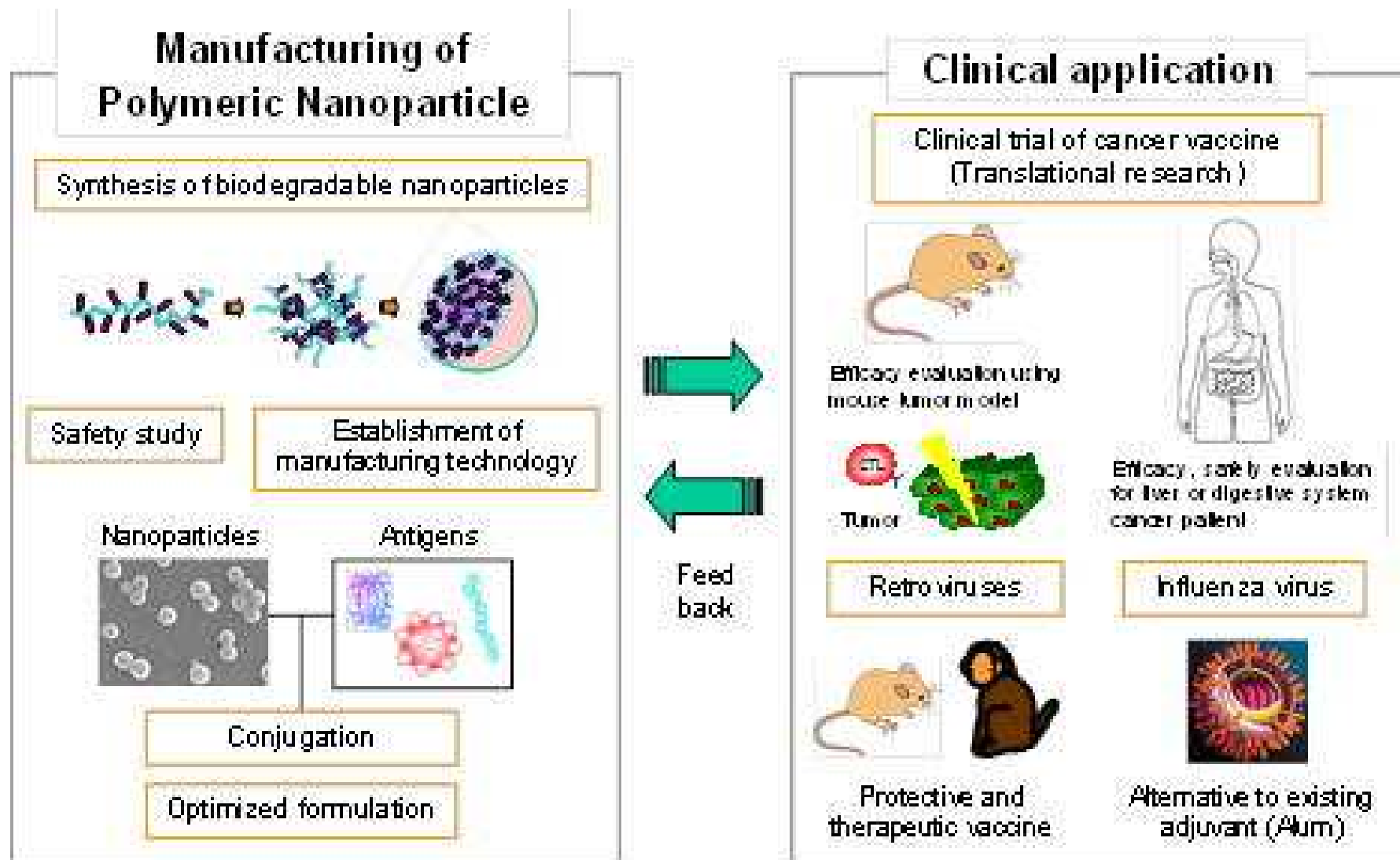
*Thank you for your attention.*



# Induction immune responses by vaccination



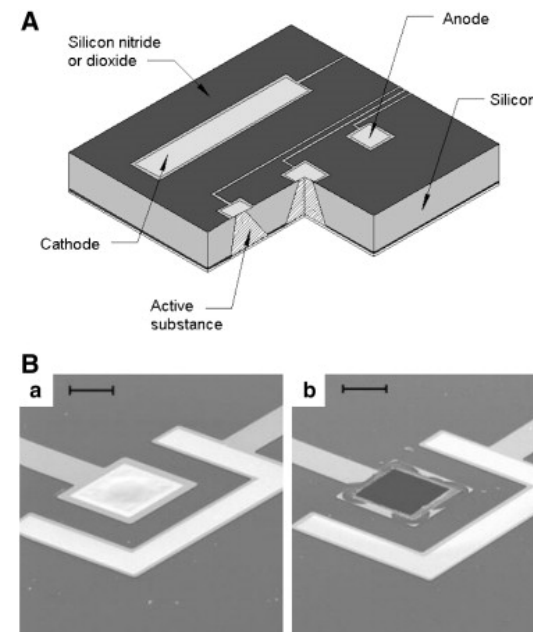
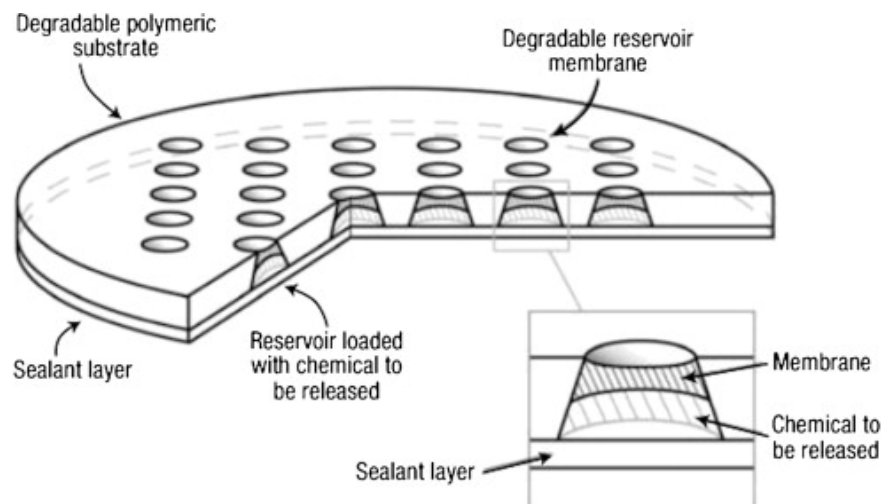
# Nanotechnology for Vaccine Delivery

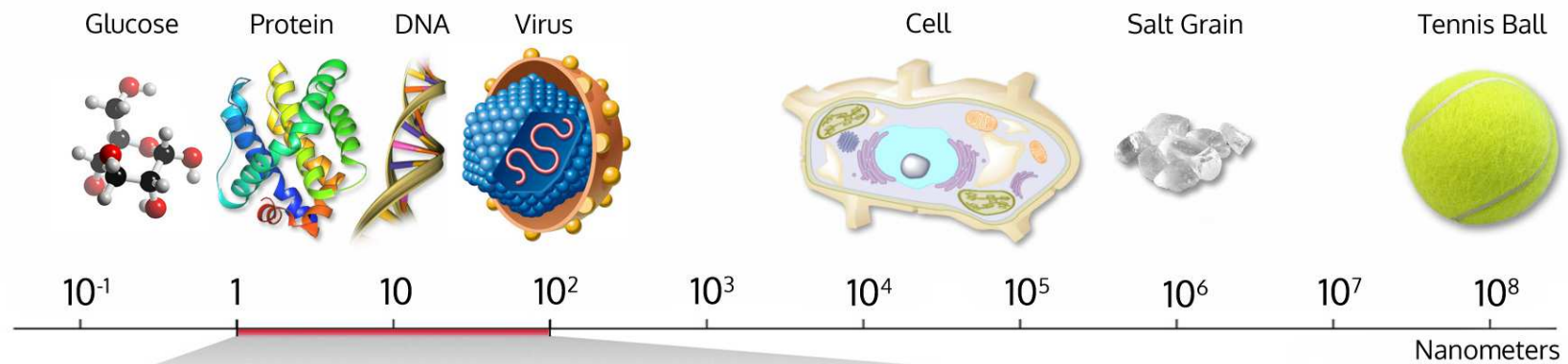


# MEMS technology in modern drug-delivery



## Passive, matrix controlled drug delivery system using bioerodible PLGA





Polymeric nanoparticles (2-1000 nm)

Inorganic nanoparticles (2-1000 nm)

ISCOM (40 nm)

liposomes (100-400 nm)

VLPs (20-800 nm)

emulsions (50-600 nm)

